



Trade and Industry Department
The Government of the Hong Kong Special Administrative Region

(For internal use only)

Application no. : _____

Date of receipt : _____

Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA)

Application Form for Renewal of Hong Kong Investor (HKI) Certificate

[Form TID 119]

Please read Notices to Investors issued by the Trade and Industry Department (TID) of the Hong Kong Special Administrative Region (HKSAR) from time to time and Notes to this application form before completing this application form.

Section A – General Information of the Applicant (see Note 1)

I. Name of applicant ^(see Note 2) :

_____ (in Chinese)

_____ (in English)

II. Business address : _____

III. Address of registered office (if different from business address above) :

IV. Tel no. : _____ Fax no. : _____ Email** : _____

V. Name of contact person : _____

VI. Business Registration no. (the first 11 digits): _____ Expiry date (dd/mm/yy) : _____

VII. Company number on the Certificate of Incorporation (if applicable) : _____

Section B – Information of HKI Certificate to be Renewed

I. HKI certificate to be renewed ^(see Note 3) :

HKI Certificate no.

Date of issue

Please “√” as appropriate

* Please delete as appropriate

** TID will use this email address for all future correspondences with the applicant on matters relating to the HKI Certification Scheme. Please notify Hong Kong Service Supplier and Hong Kong Investor Certification Section in writing immediately of any changes to the email address.

II. Reason(s) for application for renewal # :

- ☐ Applicant has not yet submitted application to the relevant Mainland authorities for preferential treatment under the Investment Agreement since the HKI certificate in Section B Part I has/have been granted. Details (if applicable) :

- ☐ Applicant is in the process of applying to the relevant Mainland authorities for preferential treatment under the Investment Agreement since the HKI certificate in Section B Part I has/have been granted. Details (if applicable) :

- ☐ Applicant is interested in applying to the relevant Mainland authorities for preferential treatment under the Investment Agreement again (such as investing in other provinces or cities). Details (if applicable) :

- ☐ Others – please provide details below :

(If the space provided above is insufficient for your listing, please continue on a separate sheet of paper.)

Section C –Documents to Support the Renewal Application

Supporting documents # :

- I. ☐ A copy of Statutory Declaration made by the applicant's authorized person ^(see Note 4) in accordance with the procedures and requirements of the Oaths and Declarations Ordinance (Cap. 11) of the HKSAR;
- II. ☐ (Applicable to companies formed and registered under the Companies Ordinance (Cap. 622) or a former Companies Ordinance of the HKSAR) A copy of the valid Certificate of Incorporation (including Certificate(s) of Change of Name, if applicable) of the applicant, certified by the Companies Registry of the HKSAR or Designated Professional ^(see Note 5);
- III. ☐ A copy of the valid Business Registration Certificate of the applicant, certified by the Business Registration Office (BRO) of the Inland Revenue Department of the HKSAR or Designated Professional ^(see Note 5);
- IV. ☐ A certified copy of a **complete** Extract of Information on the Business Register issued by the BRO within 90 days prior to the date of lodgement (i.e. date of receipt by TID) of this application (or its copy certified by a Designated Professional ^(see Note 5));
- V. ☐ A copy of the HKI Certificate concerned.
- VI. Other supporting documents (if applicable) ^(see Note 6)

	<u>Type of documents</u>	<u>Reference no. (if applicable)</u>	<u>Certifying agency or person (if applicable)</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____
6.	_____	_____	_____
7.	_____	_____	_____
8.	_____	_____	_____
9.	_____	_____	_____
10.	_____	_____	_____

(If the space provided above is insufficient for your listing, please continue on a separate sheet of paper.)

Please “\” as appropriate
* Please delete as appropriate

Section D – Declaration

On behalf of the applicant as specified in Section A of this application form, I declare that I have read and fully understood the Investment Agreement, the Notices to Investors issued by TID from time to time and the notes set out in this application form. I declare that the information provided by me in this application form as well as the accompanying supporting documents are complete and true to the best of my knowledge.

On behalf of the applicant as specified in Section A of this application form, I declare that there is no change in the particulars of the applicant which makes the applicant no longer eligible as a Hong Kong Investor (HKI) under the Investment Agreement after the granting of the HKI certificate set out in Section B.

I am aware that TID will rely on the information and supporting documents provided by me to assess the renewal application for HKI certificate. I undertake that the applicant will immediately cancel this application in writing and lodge a fresh application together with the necessary supporting documents if there is any change in the particulars of the applicant as provided in this application and its accompanying supporting documents (including those which make the applicant no longer eligible as a Hong Kong Investor under the Investment Agreement). I authorize TID to handle the personal data/information provided in this application form and its accompanying supporting documents in accordance with the Notices to Investors issued by TID from time to time. I understand that TID has the right to review this application and, in the circumstances it considers necessary, to entrust relevant government departments, statutory bodies or any independent professional personnel/institutions to assist the verification or certification of information provided in this application and information contained in the accompanying supporting documents as specified in Section C. All expenses incurred by the above verification/certification will be borne by the applicant. Should the applicant be granted the renewed HKI certificate, I also declare that I give consent to TID that it may publish and/or disclose the name of the applicant as a holder of valid HKI Certificate to third parties within or outside Hong Kong[^], and undertake that during the validity of the certificate the applicant may, if considers necessary[@], notify TID in writing if there is any change in the particulars of the applicant which makes the applicant no longer eligible as a Hong Kong investor under the Investment Agreement and at the same time apply for cancellation of the HKI certificate. I also understand that if it is found that the applicant has obtained its renewed HKI certificate by means of any omission/misrepresentation of information or any other fraudulent means, TID reserves at all times the absolute right to cancel this application or to revoke any renewed HKI certificate issued to the applicant. I understand that any omission/misrepresentation of information on my part, or on the part of any person acting on my behalf, with intent to obtaining benefits by deception is an offence and is liable to legal proceedings.

Hong Kong Identity Card No./ Passport No. (please specify nationality_____) *	Name in Chinese (if applicable)	Name in English (if applicable)	Position
Authorized Signature (see Note 4) with Applicant's Official Stamp		Date (dd/mm/yy)	

[^] Please contact the Customer Service Manager of the Hong Kong Service Supplier and Hong Kong Investor Certification Section of TID at telephone no. 3403 6004 if there are questions on this matter.

[@] During the validity of the HKI Certificates, if more than 50% of the equity interest of the holders of the HKI Certificates has been owned by way of merger or acquisition by investors not from the Mainland or Hong Kong, the investments that have already been made by the holders in the Mainland in the non-services sectors with preferential access to Hong Kong may continue to operate without applying for a new HKI Certificate. However, the holders are not allowed to use the HKI Certificates previously issued to cover new investments in those non-services sectors. The concerned Hong Kong investors may make a fresh application for an HKI Certificate one year after 50% of its shares being acquired by investors not from the Mainland or Hong Kong for covering new investments in those non-services sectors.

Please “\” as appropriate

* Please delete as appropriate

Notes

- Note 1 : The applicant must be incorporated or established pursuant to the Companies Ordinance (Cap. 622) or a former Companies Ordinance or other relevant laws of the HKSAR, and have a valid Business Registration Certificate. From the day the Investment Agreement comes into effect (i.e. 28 June 2017), where more than 50% of the equity interest of a Hong Kong investor has been owned for at least one year since a merger or acquisition by an investor other than one from the Mainland or Hong Kong, the investor which has been acquired or merged will be regarded as a Hong Kong investor. Any overseas company, representative office, liaison office, “mail box company” and company specifically established for providing certain services to its parent company, which is registered in Hong Kong, is not a Hong Kong investor under the Investment Agreement.
- Note 2 : If the applicant is granted the renewed HKI certificate, this name will be printed in full in both Chinese and English on the applicant’s certificate.
- Note 3 : An application form shall cover renewal application of **one** HKI certificate only.
- Note 4 : The authorized person should be the sole proprietor (in the case of sole proprietorship), one of the partners (in the case of partnership), or a director/responsible officer authorized by the Board of Directors (in the case of a limited company) of the applicant.
- Note 5 : Designated Professionals include :
- (i) certified public accountants (practising) of Hong Kong (auditors) i.e. certified public accountants registered under the Professional Accountants Ordinance (Cap. 50) of the HKSAR and holding a practising certificate. A register of certified public accountants is available for perusal by the public at the office of the Hong Kong Institute of Certified Public Accountants at Membership Counter, 27/F, Wu Chung House, 213 Queen’s Road East, Wanchai, Hong Kong. The register is also available at the Institute’s website (<http://www.hkicpa.org.hk>); and
 - (ii) practising lawyers in Hong Kong registered under the Legal Practitioners Ordinance (Cap. 159) of the HKSAR. The relevant list is available at the Hong Kong Law Society’s website (<http://www.hklawsoc.org.hk>).
- Attesting Designated Professionals should on the relevant documents/reports (a) state the certification result, certification date, full name of the attesting authority/person; and (b) affix the signature of the attesting authority/person. The attested documents/reports should be stamped with the chop of the authority, if possible.
- Note 6 : TID reserves at all times the absolute right to require the applicants to provide other supporting documents or supporting documents that are verified by any independent professional personnel/institutions.