

[Cursory Translation]

Annex

The Mainland's Specific Commitments on Liberalisation of Trade in Services for Hong Kong¹

¹ Sectoral classification is based on WTO's GATS Services Sectoral Classification List (GNS/W/120). For the contents of the sectors, reference is made to the relevant United Nations Provisional Central Product Classification (CPC).

Table 1

**Reserved Restrictive Measures under Commercial Presence
(Negative List)**

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	a. Legal Services (CPC861)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <ol style="list-style-type: none"> 1. Solely invested representative offices must not handle legal matters related to the application of Mainland law or employ Mainland practising lawyers. 2. Provision of legal services in the form of co-operation with a Mainland party is restricted to: <ol style="list-style-type: none"> (1) Secondment of Mainland practising lawyers by Mainland law firms to work as consultants on Mainland law in representative offices set up by Hong Kong law firms in the Mainland, or secondment of Hong Kong lawyers by Hong Kong law firms to work in Mainland law firms as consultants on Hong Kong law or cross-border laws; (2) Mainland law firms and representative offices set up by Hong Kong law firms in

the Mainland conduct cooperative operation in accordance with their agreements and commence their business cooperation by division of work in accordance with their respective scope of practice and authority;

- (3) Operating in association with a Mainland party in the form of partnership, in accordance with the specific provisions approved by the judicial administrative authority. There is no restriction on the minimum ratio of the sole or joint capital input of law firm(s) of the Hong Kong side.

Where Hong Kong law firms and Mainland law firms operate partnership associations in Guangdong:

- (1) the Mainland lawyers can handle and undertake legal matters on administrative litigation related to the application of Mainland law.
- (2) the partnership associations can employ Mainland and Hong Kong lawyers direct in the partnership associations' own name.
- (3) the requirement on the number of lawyers seconded to the partnership associations be suitably reduced.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	b. Accounting, auditing and bookkeeping services (CPC862)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u>
	Apply national treatment.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	c. Taxation Services (CPC863)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <p>Apply national treatment.</p> <p>For clarity and avoidance of doubt, in the Qianhai Area of Shenzhen in China (Guangdong) Pilot Free Trade Zone, the restriction on the number of partners from Hong Kong Certified Tax Agents not exceeding 35% of the total number of partners in a tax agent firm is removed; and the restriction of Tax Agents (Certified Tax Agents) to practise in the partnership tax agent firm for at least 180 days every year since its establishment is removed.</p>

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	d. Architectural and design services (CPC8671)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	A. Professional Services e. Engineering services (CPC8672)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	f. Integrated engineering services (CPC8673)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	g. Urban planning and landscape architectural services (CPC8674)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide general urban planning services and services for general planning for national-level scenic areas.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	h. Medical and dental services (CPC9312)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Applications for setting up medical institutions shall be approved by and registered with the Health Commission at provincial level in accordance with the Mainland regulations.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	i. Veterinary services (CPC932)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	j. Services provided by midwives, nurses, physiotherapists and para-medical personnel (CPC93191)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> No commitment ¹ .

¹ The operating mode in the form of commercial presence for this sector (sub-sector) has not yet existed in the Mainland.

Sector:	1. Business Services
Sub-sector:	A. Professional Services
	k. Other (Patent agency, Trade mark agency etc.) (CPC8921-8923)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	B. Computer and Related Services <ul style="list-style-type: none"> a. Consultancy services related to the installation of computer hardware (CPC841)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	B. Computer and Related Services
	b. Software implementation services (CPC842)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	B. Computer and Related Services
	c. Data processing services (CPC843)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u>
	Apply national treatment.

Sector:	1. Business Services
Sub-sector:	B. Computer and Related Services
	d. Database services (CPC844, except network operation services and value-added network services)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	B. Computer and Related Services
	e. Other (CPC845, 849)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	C. Research and Development Services <ul style="list-style-type: none"> a. Research and development services on natural sciences (CPC851)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Not to engage in the development and application of human stem cells, genetic diagnosis and therapy technologies. 2. Not to engage in the research and development of precious and quality breeds that are rare and unique, and production of relevant breeding materials; breeding of new variety of genetically modified crop seeds, breeding livestock and poultry, and aquatic fingerlings, and the production of these genetically modified seeds (seedlings). 3. For research in cooperation with the Mainland on livestock or poultry genetic resource in the protection list, application shall be filed with the administrative department of agriculture and rural affairs of the Provincial People's Government and a plan shall be simultaneously put forward on benefit sharing with the State. Application reviewed and accepted by the administrative department of agriculture and rural affairs at the provincial level shall be submitted to the administrative

department of agriculture and rural affairs of the State Council for approval. No newly discovered livestock or poultry genetic resource shall be used for research in the cooperation before it is appraised by the National Commission for Genetic Resources of Livestock and Poultry. Engagement in research and testing of agricultural genetically modified organisms shall be approved by the administrative department of agriculture and rural affairs of the State Council.

Sector:	1. Business Services
Sub-sector:	C. Research and Development Services
	b. Research and development services on social sciences and humanities (CPC852)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to invest in research and development institutions on humanities and social sciences.

Sector:	1. Business Services
Sub-sector:	C. Research and Development Services
	c. Interdisciplinary research and development services (CPC853)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Restricted to interdisciplinary research and experimental development services on natural sciences.

Sector:	1. Business Services
Sub-sector:	D. Real Estate Services <ul style="list-style-type: none"> a. Real estate services involving own or leased property (CPC821)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	D. Real Estate Services
	b. Real estate services on a fee or contract basis (CPC822)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u>
	Apply national treatment.

Sector:	1. Business Services
Sub-sector:	E. Rental/Leasing Services without Operators
	a. Rental/leasing services relating to ships (CPC83103)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	E. Rental/Leasing Services without Operators
	b. Rental/leasing services relating to aircraft (CPC83104)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	E. Rental/Leasing Services without Operators c. Rental/leasing services relating to private cars (CPC83101); goods transport vehicles (CPC83102) and other land transport equipment (CPC83105)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	E. Rental/Leasing Services without Operators d. Rental/leasing services relating to agricultural machinery and equipment (CPC83106-83109)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	E. Rental/Leasing Services without Operators
	e. Rental/leasing services relating to personal and household goods (CPC832)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	a. Advertising services (CPC871)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services <ul style="list-style-type: none"> b. Market research and public opinion polling services (CPC864)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Provision of market investigation¹ services is restricted to equity joint venture or contractual joint venture (for broadcast television rating surveys, the Mainland party shall be the controlling shareholder). 2. Not to provide public opinion polling services and market research services that are not market investigation services. 3. The Mainland implements a qualification confirmation system for the administration of foreign-related investigation institutions and an examination and approval system for the control of foreign-related social investigation projects. Any foreign-related market investigation must be handled through an institution which has obtained foreign-related investigation qualifications, and any foreign-related social investigation

¹ Market investigation refers to the service of collecting information concerning the prospects and performance of the products of a particular organisation in the market, which includes market analysis (the size and other characteristics of the market) and analysis on consumers' behavior and preferences.

must be handled through a Mainland-funded institution which has obtained foreign-related investigation qualifications with the approval of the authority concerned.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	c. Management consulting services (CPC865)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	d.Services related to management consulting (CPC866)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	e. Technical testing and analysis services (CPC8676)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide inspection services for Mainland-registered vessels.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services <ul style="list-style-type: none"> f. Services incidental to agriculture, hunting and forestry (CPC881)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. For the selection of new species and seed production of wheat and corn, the Mainland party shall be the controlling shareholder. 2. Not to engage in carving, processing or sales of nationally protected wild animals (including but not limited to ivory and tiger bone). 3. Not to engage in damage assessment of forest fires and other forest assessments. 4. Not to be granted Forest Ownership Certificates.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	g. Services incidental to fishing (CPC882)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <p>The locations for Hong Kong service suppliers which are in compliance with the relevant national fisheries regulations to engage in Mainland capture operations are limited to the three provinces (region) of Guangdong, Guangxi and Hainan.</p>

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	h. Services incidental to mining (CPC883, 5115)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	i. Services incidental to manufacturing (CPC884,885, except CPC88442)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide services relating to manufacturing not allowed to foreign investment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	j. Services incidental to energy distribution (CPC887)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. For engaging in the construction and operation of nuclear power plant, the Mainland party shall be the controlling shareholder. 2. For engaging in the construction and operation of networks of water supply and drainage in the Mainland municipalities outside the Guangdong Province with population over 0.5 million and in municipalities in the Guangdong Province with population over 1 million, the Mainland party shall be the controlling shareholder.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	k. Placement and supply services of Personnel (CPC872)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	I. Investigation and security services (CPC873)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Not to engage in investigation services. 2. Not to provide security services of security and safeguard for key units related to national security and involving state secrets as affirmed by the local people's governments above the level of municipalities divided into districts. 3. Not to set up or acquire shareholdings in Mainland security service companies providing armed guarding and transportation services.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	m. Related scientific and technical consulting services (CPC8675)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to engage in: <ol style="list-style-type: none"> 1) surveying of tungsten; 2) surveying and beneficiation of rare earths and radioactive mineral products; 3) scientific and technical consulting services related to hydraulic engineering; 4) geodetic survey; aerial photography of survey and mapping; ground movement measuring; administrative region boundary survey and mapping; marine survey and mapping; compilation of topographic maps, world political maps, national administrative region maps, administrative region maps at the provincial level and below, national teaching maps, regional teaching maps and true three-dimensional maps, and compilation of electronic maps for navigation; survey of regional geological mapping, geology for

mineral resources, geophysics,
geochemistry, hydrogeology,
environmental geology, geologic
hazard, and geological remote
sensing, etc.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	n. Maintenance and repair of equipment (personal and household goods repair services; repair services related to metal products; machinery and equipment) (CPC633, 8861-8866)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	o. Building-cleaning services (CPC874)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u>
	Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	p. Photographic services (CPC875)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	q. Packaging services (CPC876)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	r. Printing and publishing services (CPC88442)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Not to engage in the editing, publishing and production services of books, newspapers, journals and electronic publications. Not to engage in the editing and publishing services of video and sound recording products. Hong Kong service suppliers are allowed to set up enterprises on a wholly-owned, equity joint venture or contractual joint venture basis in the Mainland to engage in the production services of video and sound recording products. 2. Not to invest in online publishing services. 3. The shareholding proportion of Hong Kong service suppliers engaging in the printing of publications and other printed matters (except packaging materials) shall not exceed 70%.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	s. Convention and exhibition services (CPC87909)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	1. Business Services
Sub-sector:	F. Other Business Services
	t. Other (CPC8790, except read-only optical discs duplication services)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to engage in seal engraving services.

Sector:	2. Communication Services
Sub-sector:	A. Postal Services (CPC7511)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide postal services.

Sector:	2. Communication Services
Sub-sector:	B. Courier services (CPC7512)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide express delivery service of correspondence within the Mainland and delivery service of official documents of state organs.

Sector:	2. Communication Services
Sub-sector:	C. Telecommunications services ¹ <ol style="list-style-type: none"> a. Voice telephone services b. Packet-switched data transmission services c. Circuit-switched data transmission services d. Telex services e. Telegraph services f. Facsimile services g. Private leased circuit services h. Electronic mail services i. Voice mail services j. On-line information and data base retrieval services k. Electronic data interchange (EDI) services l. Enhanced/value-added facsimile services, including store and forward, store and retrieve m. Code and protocol conversion services n. On-line information and/or data processing (including transaction processing) o. Other (paging, teleconference, transoceanic mobile communications, air-to-ground communications, etc.)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. For engaging in basic telecommunications services, Hong Kong service suppliers

¹ Classification for this sector is based on the Mainland classification for telecommunications sector.

shall set up joint venture enterprises in the Mainland, and the Mainland parties shall be the controlling shareholder.

2. For the provision of the following services by Hong Kong service suppliers, the proportion of Hong Kong capital in the shareholding shall not exceed 50%:
 - 1) Online data processing and transaction processing services (except operating e-commerce business websites);
 - 2) Mainland IP based Virtual Private Network Services;
 - 3) Internet data centre services;
 - 4) Internet access services (except for providing Internet access services to end users);
 - 5) Content services (except application stores);
 - 6) Content delivery network services;
 - 7) Code and protocol conversion services.

Sector:	2. Communication Services
Sub-sector:	D. Audiovisual services <ul style="list-style-type: none"> Cinema theatre services Motion picture or video tape production and distribution services (CPC9611) Radio and television services (CPC9613) Other
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p>Commercial Presence</p> <p>Cinema theatre services</p> <p>1. Not to construct cinema theatre chains.</p> <p>Motion picture or video tape production and distribution services</p> <p>2. Approval of the relevant Mainland authorities is required for establishment of enterprises on a wholly-owned basis in the Mainland to distribute Mainland motion pictures.</p> <p>3. Not to invest in enterprises engaging in production and import of motion pictures.</p> <p>Radio and television services</p> <p>4. Not to invest in radio stations, television stations, broadcasting television channels (frequencies), broadcasting television transmission networks (transmitting stations, relay stations, broadcasting television satellites, uplinking satellite stations, satellite transposers, microwave link stations, monitoring stations, cable broadcasting television transmission</p>

networks, etc.) at any regional level. Not to engage in video-on-demand broadcasting television services and installation services of satellite television receiving facilities.

5. Not to engage in the production and operation of radio and television programmes (including importing business).

Others

6. Not to engage in online audiovisual services.

Sector: 3. Construction and Related Engineering Services

Sub-sector: A. General construction work for buildings (CPC512)

Obligations concerned: National Treatment

Reserved Restrictive Measures: Commercial Presence
Apply national treatment.

For clarity and avoidance of doubt, for construction enterprises set up in the Mainland by Hong Kong service suppliers, the performance of the enterprises in works contracts both in the Mainland and outside the Mainland can be taken into account as a basis in assessing the qualification of the construction enterprises set up in the Mainland. There will be no restriction on the proportion of Hong Kong permanent residents being project managers approved by the qualification administration authorities.

Sector: 3. Construction and Related Engineering Services

Sub-sector: B. General construction work for civil engineering (CPC513)

Obligations concerned: National Treatment

Reserved Restrictive Measures: Commercial Presence

1. Not to provide construction works, procurement of facilities and equipment, as well as maintenance and management services for waterways, facilities and equipment in relation to national or international rivers and waterways.
2. Not to provide dredging service in relation to waterway maintenance.

For clarity and avoidance of doubt, for construction enterprises set up in the Mainland by Hong Kong service suppliers, the performance of the enterprises in works contracts both in the Mainland and outside the Mainland can be taken into account as a basis in assessing the qualification of the construction enterprises set up in the Mainland. There will be no restriction on the proportion of Hong Kong permanent residents being project managers approved by the qualification administration authorities.

Sector:	3. Construction and Related Engineering Services
Sub-sector:	C. Installation and assembly work (CPC514,516)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	3. Construction and Related Engineering Services
Sub-sector:	D. Building completion and finishing work (CPC517)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	3. Construction and Related Engineering Services
Sub-sector:	E. Other (CPC511,515,518)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	4. Distribution Services
Sub-sector:	A. Commission agents' services (CPC621)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	4. Distribution Services
Sub-sector:	B. Wholesale trade services (CPC622, except wholesale trade services of cultural relics)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	4. Distribution Services
Sub-sector:	C. Retailing services (CPC631, 632, 6111, 6113, 6121, except retailing services of cultural relics)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide retailing services of tobacco.

Sector:	4. Distribution Services
Sub-sector:	D. Franchising (CPC8929)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	4. Distribution Services
Sub-sector:	E. Other distribution services (except the auction of cultural relics)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The setting up and operation of duty-free stores shall comply with the relevant Mainland regulations. 2. In the application for setting up direct selling enterprises, applicants shall have undertaken direct selling activities for over three years outside the border. Direct selling enterprise or any of its branches are not allowed to recruit personnel outside the border as direct sales personnel. Personnel outside the border are not allowed to undertake vocational training of direct sales personnel.

Sector:	5. Education Services
Sub-sector:	A. Primary education services (CPC921)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <ol style="list-style-type: none"> 1. The setting up of schools and other education institutions mainly admitting Chinese citizens of the Mainland is restricted to cooperation on a contractual basis. 2. Not to invest in the establishment of education institutions providing compulsory education and religious education. <p>For clarity and avoidance of doubt, for schools set up on a wholly-owned basis for the children of foreign nationals in the Guangdong Province, admission may be extended to cover the children of overseas Chinese and talents who returned from studies abroad working in Guangdong, in addition to the children of foreign nationals who hold residence permits in the Mainland.</p>

Sector:	5. Education Services
Sub-sector:	B. Secondary education services (CPC922)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The setting up of schools and other education institutions mainly admitting Chinese citizens of the Mainland is restricted to cooperation on a contractual basis.¹ 2. Not to invest in the establishment of education institutions providing compulsory education and religious education.

For clarity and avoidance of doubt, for schools set up on a wholly-owned basis for the children of foreign nationals in the Guangdong Province, admission may be extended to cover the children of overseas Chinese and talents who returned from studies abroad working in Guangdong, in addition to the children of foreign nationals who hold residence permits in the Mainland.

¹ To allow the establishment of non-academic secondary vocational training institutions on a wholly-owned basis in the Mainland, the scope of admission shall be analogous with that for Mainland vocational training institutions.

Sector:	5. Education Services
Sub-sector:	C. Higher education services (CPC923)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The setting up of schools and other education institutions mainly admitting Chinese citizens of the Mainland is restricted to cooperation on a contractual basis.¹ 2. Not to invest in the establishment of education institutions providing religious education.

¹ To allow the establishment of non-academic tertiary vocational training institutions on a wholly-owned basis in the Mainland, the scope of admission shall be analogous with that for Mainland vocational training institutions.

Sector:	5. Education Services
Sub-sector:	D. Adult education (CPC924)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to invest in the establishment of education institutions providing religious education.

Sector:	5. Education Services
Sub-sector:	E. Other education services (CPC929)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to invest in the establishment of education institutions providing religious education.

Sector:	6. Environmental Services
Sub-sector:	A. Sewage services (CPC9401)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	B. Refuse disposal services (CPC9402)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	C. Sanitation and similar services (CPC9403)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	D. Cleaning services of exhaust gases (CPC9404)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	E. Noise abatement services (CPC9405)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	F. Nature and landscape protection services (CPC9406)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	6. Environmental Services
Sub-sector:	G. Other environmental protection services (CPC9409)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	7. Financial Services
Sub-sector:	<p>A. All insurance and insurance-related services (CPC812)</p> <p>a. Life, accident and health insurance services (CPC8121)</p> <p>b. Non-life insurance services (CPC8129)</p> <p>c. Reinsurance and retrocession (CPC81299)</p> <p>d. Services auxiliary to insurance (including broking and agency services, consultancy services, actuarial services) (CPC8140)</p>
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <p>1. Hong Kong insurance companies and their groups formed through re-grouping or strategic mergers which enter the Mainland insurance market must fulfil the following criteria:</p> <ol style="list-style-type: none"> 1) Total assets held by the group of over US\$5 billion; 2) The place of their domicile is having a robust insurance regulatory system; and the insurance companies are under effective supervision by the relevant authority where the insurance companies are domiciled; 3) Having met the solvency margin standard of the place where they are domiciled; 4) Their application has had the

- agreement of the relevant authority of the place where they are domiciled;
- 5) Reasonable corporate governance; and stable risk management system;
 - 6) Healthy internal control system; and effective information management system; and
 - 7) Good operating condition; and having no records of significant violation of laws and regulations.
2. Foreign financial institutions which invest in shares of insurance companies must fulfil the following criteria:
- 1) A good and stable financial condition with continuous profit making record for the recent three consecutive accounting years;
 - 2) The total assets as at the end of the most recent year shall be not less than US\$2 billion;
 - 3) Having long term credit rating of A or above given by international credit agencies in the recent three years;
 - 4) Having no records of significant violation of laws and regulations for the recent three years;
 - 5) Having fulfilled the requirements of prudential supervision standards of the financial regulators where they are domiciled.
3. An insurance company which carries on life insurance business in the Mainland and is jointly established by foreign insurance companies and Mainland domestic companies and/or enterprises (hereinafter called “jointly-owned life

insurance company”) shall have no more than 51%¹ of its total share capital coming from foreign source. Foreign insurance companies having shares in the jointly-owned life insurance company, directly or otherwise, whose proportion of share capital shall not exceed the limit as aforesaid.

4. Hong Kong insurance agency companies setting up wholly-owned insurance agency companies in the Mainland to provide insurance agency services for the Mainland insurance companies must fulfil the following criteria:
 - 1) The applicant must be a Hong Kong insurance agency enterprise;
 - 2) Having been operating insurance agency business for over 3 years.
5. Hong Kong insurance brokerage companies setting up wholly-owned insurance agency companies in the Mainland must fulfil the following criteria:
 - 1) The applicant shall have been operating insurance brokerage business in Hong Kong for over 10 years;
 - 2) The applicant's average annual insurance brokerage business revenue for the past 3 years before application shall not be less than HK\$500,000 and the total assets as at the end of the year before application shall not be less than HK\$500,000; and
 - 3) Within 3 years before application, there

¹ The actual time in 2020 to remove the restriction on foreign shareholding percentage is subject to the Banking and Insurance Regulatory Commission's announcement.

has been no serious violation of regulations and records of disciplinary action.

6. Except otherwise approved by the China Banking and Insurance Regulatory Commission, Hong Kong insurance companies are not allowed to carry out the purchase or sale of assets or other transactions with their related enterprises.

Sector: 7. Financial Services

Sub-sector: B. Banking and other financial services (excluding insurance)

- a. Acceptance of deposits and other repayable funds from the public (CPC81115-81119)
- b. Lending of all types, including, inter alia, consumer credit, mortgage credit, factoring and financing of commercial transaction (CPC8113)
- c. Financial leasing (CPC8112)
- d. All payment and money transmission services (CPC81339)
- e. Guarantees and commitments (CPC81199)
- f. Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or otherwise, the following:
 - f1. money market instruments (CPC81339)
 - f2. foreign exchange (CPC81333)
 - f3. derivative products including, but not limited to, futures and options (CPC81339)
 - f4. exchange rate and interest rate instruments, including products such as swaps, forward rate agreements, etc. (CPC81339)
 - f5. transferable securities (CPC81321)
 - f6. other negotiable instruments and financial assets, including

bullion (CPC81339)

- g. Participation in issues of all kinds of securities (CPC8132)
- h. Money broking (CPC81339)
- i. Asset management (CPC8119, 81323)
- j. Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments (CPC81339 or 81319)
- k. Advisory and other auxiliary financial services (CPC8131 or 8133)
- l. Provision and transfer of financial information, and financial data processing and related software by providers of other financial services (CPC8131)

**Obligations
concerned:**

National Treatment

**Reserved
Restrictive
Measures:**

Commercial Presence

1. Hong Kong service suppliers investing in financial institutions engaging in banking business shall be financial institutions or specialised financial institutions, and the relevant conditions being:
 - 1) For setting up a wholly foreign-funded bank, the shareholder shall be a financial institution, and the sole or majority shareholder shall be a commercial bank; for setting up a Chinese-foreign joint venture bank, the Hong Kong shareholder shall be a financial institution, and the sole or majority foreign shareholder shall be a commercial bank;

- 2) The foreign founding member or strategic investor of a large commercial bank¹, joint stock commercial bank, urban commercial bank or the Postal Savings Bank of China shall be a financial institution;
 - 3) The foreign founding member or strategic investor of a rural commercial bank, rural cooperative bank, rural credit union or rural bank shall be a bank;
 - 4) The foreign equity investor of a trust company shall be a financial institution;
 - 5) The foreign founding member of a financial leasing company shall be a financial institution or financial leasing company;
 - 6) The major foreign equity investor of a consumer finance company shall be a financial institution;
 - 7) The foreign investor of a money brokerage company shall be a money brokerage company;
 - 8) The foreign strategic investor of a financial asset management company shall be a financial institution.
2. Approval shall be required for investing in financial institutions listed below:
- 1) Approval is required for Hong Kong service suppliers to invest in large commercial banks, joint stock commercial banks, the Postal Savings Bank of China or urban commercial banks in the Mainland;
 - 2) Approval is required for Hong Kong

¹ For the purpose of this clause, large commercial banks refer to Industrial and Commercial Bank of China, Agricultural Bank of China, Bank of China, China Construction Bank, and Bank of Communications.

- service suppliers to invest in rural commercial banks, rural cooperative banks, rural credit unions, rural banks or credit companies;
- 3) Approval is required for Hong Kong service suppliers to invest to establish wholly foreign-funded banks, Chinese-foreign joint venture banks, or foreign bank branches;
 - 4) Approval is required for foreign banks to change the operating capital of foreign bank branches in the Mainland;
 - 5) Approval of the state council authority supervising credit information business is required for a credit information institution to provide credit information services;
 - 6) Approval from the State Internet Information Office, Ministry of Commerce and State Administration for Industry & Commerce shall be required, and the “Licence for foreign institution investing and establishing financial information services company in China” shall be obtained, for establishing a financial information services company;
 - 7) Approval is required for Hong Kong service suppliers to invest in trust companies;
 - 8) Approval is required for Hong Kong service suppliers to invest in financial asset management companies, corporate group finance companies, financial leasing companies, automotive finance companies, money brokerage companies and consumer finance companies.

3. Hong Kong service suppliers investing in financial institutions engaging in banking business shall meet relevant asset size requirements, including specifically:
- 1) The foreign founding member or strategic investor of a large commercial bank, joint stock commercial bank, urban commercial bank or the Postal Savings Bank of China shall in principle have total assets of no less than US\$6 billion at the end of the year immediately before;
 - 2) The foreign founding member or strategic investor of a rural commercial bank, rural cooperative bank, rural bank or credit company shall in principle have total assets of no less than US\$6 billion at the end of the year immediately before; the foreign founding member or strategic investor of a rural credit union shall in principle have total assets of no less than US\$1 billion at the end of the year immediately before;
 - 3) The outside strategic investor (which itself is a foreign financial institution) of a financial company of an enterprise group shall in principle have total assets of no less than US\$1 billion at the end of the year immediately before;
 - 4) The foreign founding member of a financial leasing company shall in principle have total assets of no less than US\$1 billion or equivalent amount in convertible foreign currencies at the end of the year immediately before;
 - 5) The foreign strategic investor of a financial asset management company shall in principle have total assets of no less than US\$10 billion at the end of the

year immediately before.

4. Foreign bank branches established by Hong Kong service suppliers shall not be allowed to conduct bank cards services. Foreign bank branches established by Hong Kong service suppliers shall not be allowed to offer RMB services to Chinese citizens in the Mainland other than accepting time deposits of no less than RMB500,000; shall not be allowed to conduct businesses restricted to wholly foreign-funded banks or joint venture banks; shall not be allowed to conduct securities or insurance businesses.
5. For a foreign bank branch established by a Hong Kong service supplier, the RMB portion of the total of operating capital and reserves shall be no less than 8% of its RMB risk-weighted assets. The branch of a foreign bank that continues to meet capital adequacy ratio requirements set by its domestic supervisory authorities as well as the banking regulation authorities of the State Council is exempt from the above-mentioned requirement. The branch of a foreign bank shall hold a certain proportion of interest-earning assets as required by the banking regulation authorities of the State Council.
6. Wholly foreign-funded banks, Chinese-foreign joint venture banks and foreign bank branches established by Hong Kong service suppliers shall be required to satisfy prudential requirements for conducting RMB business.

7. Wholly foreign-funded banks, Chinese-foreign joint venture banks or foreign bank branches engaging in interbank lending and borrowing business shall be required to obtain approval of the People's Bank of China for having the qualification to conduct RMB interbank lending and borrowing business. A wholly foreign-funded bank or Chinese-foreign joint venture bank shall borrow or lend no more than 2 times of its paid-in capital; a foreign bank branch shall borrow or lend no more than 2 times of its RMB operating capital.
8. Foreign bank branches established by Hong Kong service suppliers shall not be allowed to engage in the business of acting as a receiving and paying agent for the government's treasury.
9. Hong Kong service suppliers investing in a money brokerage company shall have engaged in money brokerage business for over 20 years, have recorded profit for 2 consecutive years preceding its application, and have global institutional and communication networks necessary for conducting money brokerage business.
10. Foreign institutions shall not be allowed to participate in the establishment of financial asset investment companies as majority shareholders.
11. Investment in securities companies can be made in the following two forms only:

- 1) Where investment in securities companies is made in the form of joint venture, which includes: the establishment of joint venture securities companies through joint capital contribution with domestic shareholders in accordance with law; and the conversion of Mainland-funded securities companies into joint venture securities companies according to law by legal transfer and acquisition of shareholding in the Mainland-funded securities companies. (In line with the national treatment, the “participation in one and holding of one principle” is applicable to the number of Mainland-Hong Kong joint venture securities companies that are allowed to be invested in through shareholding acquisition by the same Hong Kong-funded financial institution or by various Hong Kong-funded financial institutions which are effectively controlled by the same entity.)
- 2) Investment by overseas investors in listed Mainland-funded securities companies may be done by way of holding shares in a listed Mainland-funded securities company through securities transactions on a stock exchange, or by establishing a strategic partnership with a listed Mainland-funded securities company and obtaining approval of the China Securities Regulatory Commission for holding shares in a listed Mainland-funded securities company with its approved scope of business remaining unchanged (on the premise that the controlling shareholder is a Mainland shareholder, the listed Mainland-funded securities company can be exempted from the requirement that

the shareholding percentage of at least one Mainland shareholder being not less than 49%).

Overseas investors hold through securities transactions on a stock exchange in accordance with law, or hold jointly with others under an agreement or other arrangements, more than 5% of the shares of a listed Mainland-funded securities company, shall comply with the qualification requirements for overseas shareholders of joint venture securities companies and abide by the Securities Law and regulations of the Mainland on mergers and acquisitions of listed companies as well as change in particulars of securities companies. The percentage of aggregate shareholding held (including those directly held and indirectly controlled) by all overseas investors in a listed Mainland-funded securities company shall not exceed 51%¹.

12. Where investment in securities companies is made in the form of a joint venture, the percentage of shareholding held by overseas shareholders or the percentage of their interests in an overseas-invested securities company shall not exceed 51% in aggregation (including those directly held and indirectly controlled)². The overseas shareholders of a joint venture securities company shall comply with the Mainland's qualification requirements for overseas shareholders of foreign-invested securities companies.

13. Investment in fund management companies by Hong Kong-funded financial

¹ The actual time in 2020 to remove the restriction on foreign shareholding percentage is subject to the Securities Regulatory Commission's announcement.

² The actual time in 2020 to remove the restriction on foreign shareholding percentage is subject to the Securities Regulatory Commission's announcement.

institutions can be made only in the form of joint venture¹ (in line with the national treatment, the “participation in one and holding of one principle” is applicable to the number of Mainland-Hong Kong joint venture fund companies that are allowed to be invested in through shareholding acquisition).

14. Investment in futures companies can be made only in the form of joint venture. The percentage of shareholding owned by qualified Hong Kong service suppliers in a joint venture futures company shall not exceed 51% (including shareholding of related parties)². (In line with the national treatment, the “participation in one and holding of one principle” is applicable to the number of Mainland-Hong Kong joint venture futures companies that are allowed to be invested in through shareholding acquisition by the same Hong Kong-funded financial institution or by various Hong Kong-funded financial institutions which are effectively controlled by the same entity.)

An overseas shareholder holding more than 5% of shareholding in a futures company shall meet the following criteria: being a financial institution established and legally existed under the laws of Hong Kong; all of its financial indicators and regulatory indicators in the preceding three years having met the relevant provisions of the laws of Hong Kong and the requirements of regulatory bodies.

15. Investment in securities investment advisory companies by Hong Kong-funded

¹ The actual time in 2020 to remove the restriction on foreign shareholding percentage is subject to the Securities Regulatory Commission's announcement.

² The actual time in 2020 to remove the restriction on foreign shareholding percentage is subject to the Securities Regulatory Commission's announcement.

financial institutions can be made only in the form of joint venture. (In line with the national treatment, the “participation in one and holding of one principle” is applicable to the number of Mainland-Hong Kong joint venture securities investment advisory companies that are allowed to be invested in through shareholding acquisition by the same Hong Kong-funded financial institution or by various Hong Kong-funded financial institutions which are effectively controlled by the same entity.)

Hong Kong securities companies which satisfy the qualification requirements as overseas shareholders of overseas-invested securities companies, and Mainland securities companies which satisfy the requirements for establishing subsidiaries, are allowed to set up joint venture securities investment advisory companies in the Mainland. The joint venture securities investment advisory company shall be a subsidiary of the Mainland securities company, the scope of business of which shall focus specifically on carrying on securities investment advisory businesses. The percentage of shareholding of the Hong Kong securities company may, at a maximum, reach 49% of the total shareholding of such joint venture securities investment advisory company.

In certain reform experiment zones for “piloting financial reforms” as approved by the Mainland, the percentage of shareholding of a Hong Kong-funded securities company which satisfies the relevant requirements for setting up a Mainland-Hong Kong joint venture securities investment advisory company in

such company may reach more than 50%.

16. Where Hong Kong shareholders acquire shareholding in Mainland-Hong Kong joint venture securities companies, fund management companies, futures companies or securities investment advisory institutions, capital contribution shall be made in a currency that is freely convertible.

For clarity and avoidance of doubt, any foreign bank branch established in the Guangdong province by a Hong Kong bank can apply to establish "cross-location" sub-branches (i.e. different from the municipality where the relevant branch is located) within the Guangdong province with reference to the relevant rules in the Mainland for establishing sub-branches. If a wholly foreign-funded bank established in the Mainland by a Hong Kong bank has already established branches in the Guangdong province, such branches can apply to establish "cross-location" sub-branches (i.e. different from the municipality where the relevant branch is located) within the Guangdong province with reference to the relevant rules in the Mainland for establishing sub-branches.

Sector:	7. Financial Services
Sub-sector:	C. Other
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	8. Health Related and Social Services
Sub-sector:	A. Hospital services (CPC9311)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Applications for setting up medical institutions shall be approved by and registered with the Health Commission at provincial level in accordance with the Mainland's regulations.

Sector:	8. Health Related and Social Services
Sub-sector:	B. Other Human Health Services (CPC93192,93193,93199)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to launch services on genetic information, blood collection, pathological data and other services that may endanger public health and safety.

Sector:	8. Health Related and Social Services
Sub-sector:	C. Social services (CPC933)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide emergency relief services for disaster victims.

Sector:	9. Tourism and Travel Related Services
Sub-sector:	A. Hotels and restaurants (CPC641-643)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	9. Tourism and Travel Related Services
Sub-sector:	B. Travel agencies and tour operators services (CPC7471)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <p>The number of travel agents set up on a wholly-owned basis operating outbound group tours for Mainland residents on a pilot basis to destinations beyond Hong Kong and Macao (excluding Taiwan) is restricted to 5.</p>

Sector:	9. Tourism and Travel Related Services
Sub-sector:	C. Tourist guides services (CPC7472)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector: 9. Tourism and Travel Related Services

Sub-sector: D. Other

**Obligations
concerned:** National Treatment

**Reserved
Restrictive
Measures:** Commercial Presence
Apply national treatment.

Sector:	10. Recreational, Cultural and Sporting Services
Sub-sector:	A. Entertainment services (other than audiovisual services) (CPC9619)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Establishment of Internet culture business units is restricted to equity joint venture or contractual joint venture with the Mainland party holding controlling shareholding or dominant interests. 2. Establishment of performing arts groups is restricted to equity joint venture or contractual joint venture with the Mainland party holding controlling shareholding or dominant interests.

Sector:	10. Recreational, Cultural and Sporting Services
Sub-sector:	B. News agency services (CPC962)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p>Commercial Presence</p> <ol style="list-style-type: none"> 1. Not to invest in news agencies (including but not limited to press agencies). 2. Not to invest in Internet news services and Internet public-oriented information dissemination services.

Sector:	10. Recreational, Cultural and Sporting Services
Sub-sector:	C. Library, archive, museum and other cultural services (CPC963)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p>Commercial Presence</p> <ol style="list-style-type: none"> 1. Not to invest in the state-owned cultural relic museums. For clarity and avoidance of doubt, Hong Kong service suppliers can provide, in the form of wholly-owned operations, professional services for museums in the Mainland. 2. Not to invest in companies selling cultural relics and cultural relic stores.

Sector:	10. Recreational, Cultural and Sporting Services
Sub-sector:	D. Sporting and other recreational services (CPC964)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services <ul style="list-style-type: none"> a. Passenger transportation (CPC7211)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing costal water transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and the capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services
	b. Freight transportation (CPC7212)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing coastal water transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and the capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services
	c. Rental of vessels with crew (CPC7213)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide rental of vessels with crew engaging in coastal waterway transportation.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services
	d. Maintenance and repair of vessels (CPC8868)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services
	e. Pushing and towing services (CPC7214)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing costal water transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and the capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	A. Maritime Transport Services
	f. Supporting services for maritime transport (CPC745)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <p>The provision of supporting services for maritime transport is restricted to:</p> <ol style="list-style-type: none"> 1) The establishment of wholly-owned enterprises to provide material supplying services except fuels and water; 2) Providing services on cleaning, sterilizing, fumigating, pest control and sealing up of vessels and storage for arriving and anchoring vessels; 3) Corporate enterprise with Mainland party is allowed to engage in salvage business.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport <ul style="list-style-type: none"> a. Passenger transportation (CPC7221)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing internal waterways transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport
	b. Freight transportation (CPC7222)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing internal waterways transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and the capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport
	c. Rental of vessels with crew (CPC7223)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Not to provide rental of vessels with crew engaging in internal water transport.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport
	d. Maintenance and repair of vessels (CPC8868)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport
	e. Pushing and towing services (CPC7224)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. The following criteria shall be met when providing internal waterways transport services: <ol style="list-style-type: none"> 1) In the business areas intended to operate, Mainland water transport service suppliers could not meet the demand; 2) Having good business and operating records in providing water transport services; 3) Restricted to equity joint venture, contractual joint venture and the capital contribution of the Hong Kong service supplier not exceeding 50%. 2. For enterprises which have been approved and have obtained the permit to provide water transport services, any change of Hong Kong service suppliers and any change of the share percentage held by Hong Kong service suppliers shall be reported to the original authority-in-charge for approval.

Sector:	11. Transport Services
Sub-sector:	B. Internal Waterways Transport
	f. Supporting services for internal waterway transport (CPC745)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<p><u>Commercial Presence</u></p> <p>The provision of supporting services for internal waterway transport is restricted to:</p> <ol style="list-style-type: none"> 1) The establishment of wholly-owned enterprises to provide material supplying services except fuels and water; 2) Providing services on cleaning, sterilising, fumigating, pest control and sealing up of vessels and storage for arriving and anchoring vessels; 3) Corporate enterprise with Mainland party is allowed to engage in salvage business.

Sector:	11. Transport Services
Sub-sector:	C. Air Transport Services
	a. Passenger transportation (CPC731)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. For the setting up and operation of public air transport companies, the Mainland party shall be the controlling shareholder, and the investment proportion of a Hong Kong service supplier (including its affiliates) shall not exceed 25%, and also the legal representative shall be a Chinese citizen. 2. For the setting up and operation of general aviation enterprises engaging in business flights, aerial tours and services to industries, the Mainland party shall be the controlling shareholder. The setting up and operation of general aviation enterprises engaging in agricultural, forestry or fisheries operations is restricted to equity joint venture or contractual joint venture with the Mainland party. The legal representative of the general aviation enterprises shall be a Chinese citizen.

Sector:	11. Transport Services
Sub-sector:	C. Air Transport Services
	b. Freight transportation (CPC732)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> For the setting up and operation of public air transport companies, the Mainland party shall be the controlling shareholder, the investment proportion of a Hong Kong service supplier (including its affiliates) shall not exceed 25%, and also the legal representative shall be a Chinese citizen.

Sector:	11. Transport Services
Sub-sector:	C. Air Transport Services
	c. Rental of aircraft with crew (CPC734)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	C. Air Transport Services
	d. Maintenance and repair of aircraft (CPC8868)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	C. Air Transport Services
	e. Supporting services for air transport (CPC746)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ol style="list-style-type: none"> 1. Not to invest and manage air traffic control system in the Mainland. 2. For investment in civil airports, the Mainland party shall take the corresponding controlling shareholding position. 3. The period of validity of the management services contract for small and medium airports shall not exceed 20 years; the provision of contract management services for large airports on a wholly-owned basis is not allowed. 4. To allow ground services for air transport on a wholly-owned basis, excluding security-related projects.

For clarity and avoidance of doubt, Hong Kong service suppliers may submit the economic guarantee provided by Mainland-incorporated banks or guarantee companies recommended by the China Air Transport Association in their applications for the setting up of air transport sales agency enterprises on wholly-owned,

equity joint ventures or contractual joint ventures basis in the Mainland. Guarantee can also be provided by Hong Kong banks, supplemented by the economic guarantee provided by the Mainland-incorporated banks or guarantee companies recommended by the China Air Transport Association within a specified period of time after the applications have been approved by the Mainland.

Sector: 11. Transport Services

Sub-sector: D. Space Transport (CPC733)

Obligations concerned: National Treatment

Reserved Restrictive Measures: Commercial Presence
Not to provide space transport service.

Sector:	11. Transport Services
Sub-sector:	E. Rail Transport Services
	a. Passenger transportation (CPC7111)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	E. Rail Transport Services
	b. Freight transportation (CPC7112)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	E. Rail Transport Services
	c. Pushing and towing services (CPC7113)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	E. Rail Transport Services
	d. Maintenance and repair of rail transport equipment (CPC8868)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	E. Rail Transport Services
	e. Supporting services for rail transport services (CPC743)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	F. Road Transport Services
	a. Passenger transportation (CPC7121,7122)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	F. Road Transport Services
	b. Freight transportation (CPC7123)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	F. Road Transport Services
	c. Rental of commercial vehicles with operator (CPC7124)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	F. Road Transport Services
	d. Maintenance and repair of road transport equipment (CPC6112, 8867)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	F. Road Transport Services
	e. Supporting services for road transport services (CPC744)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	G. Pipeline Transport
	a. Transportation of fuels (CPC7131)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	G. Pipeline Transport
	b. Transportation of other goods (CPC7139)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	H. Services auxiliary to all modes of transport
	a. Cargo-handling services (CPC741)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u>
	Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	H. Services auxiliary to all modes of transport
	b. Storage and warehouse services (CPC742)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	H. Services auxiliary to all modes of transport c. Freight transport agency services (CPC748)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	H. Services auxiliary to all modes of transport d. Other (CPC749)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	11. Transport Services
Sub-sector:	I. Other Transport Services
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> Apply national treatment.

Sector:	12. Other Services Not Included Elsewhere
Sub-sector:	<ul style="list-style-type: none"> A. Services of membership organisations (CPC95) B. Other services (CPC97) C. Private households with employed persons (CPC98) D. Services provided by extraterritorial organisations and bodies (CPC99)
Obligations concerned:	National Treatment
Reserved Restrictive Measures:	<u>Commercial Presence</u> <ul style="list-style-type: none"> 1. Not to provide services on trade unions, ethnic minority bodies, and religious or political group membership organisations. 2. Not to set up representative offices of overseas organisations and institutions in the Mainland.

Table 2

**Liberalisation Measures under Cross-border Services
(Positive List)**

Sectors or Sub-sectors	1. Business services
	A. Professional services
	a. Legal services (CPC861)
Specific commitments	<p>1. To allow Mainland law firms to employ Hong Kong legal practitioners ¹ . Such practitioners who are employed by Mainland law firms must not handle matters of Mainland law.</p> <p>2. To allow Hong Kong legal practitioners to be employed as legal consultants by not more than 3 Mainland law firms simultaneously.</p> <p>3. To allow Hong Kong permanent residents with Chinese citizenship to sit the Unified Legal Professional Qualification Examination in the Mainland and acquire legal professional qualification in accordance with the “Implementation Measures for the National Unified Legal Professional Qualification Examination”.</p> <p>4. To allow those who have acquired Mainland legal professional qualification under item 3 above to engage in non-litigation legal matters in Mainland law firms in accordance with the “Law of the People's Republic of China on Lawyers”.</p>

¹ Hong Kong legal practitioners refer to barristers and solicitors of Hong Kong. Their years of practice should be calculated according to the actual number of years for which the solicitor or barrister has practised in Hong Kong as shown on the relevant certificate respectively issued by the Law Society of Hong Kong or the Hong Kong Bar Association.

	<p>5. To allow Hong Kong legal practitioners to obtain practice qualification in the nine Pearl River Delta municipalities of the Guangdong-Hong Kong-Macao Greater Bay Area by passing a special examination and to engage in matters on specific areas of Mainland law.</p> <p>6. A Hong Kong resident who is allowed to practise in the Mainland will practise in one Mainland law firm only, and will not simultaneously be employed by the representative office set up by a law firm of a foreign country in the Mainland, or the representative office set up by a law firm of Hong Kong or Macao in the Mainland.</p> <p>7. The approval requirement for the employment of Hong Kong legal practitioners as legal consultants will be changed to filing procedures. Annual registration is not required.</p> <p>8. Hong Kong lawyers providing professional assistance at the request of Mainland law firms on the basis of individual cases will not be required to apply for a Hong Kong legal consultant permit.</p> <p>9. To allow Hong Kong residents who have acquired Mainland lawyer qualifications or legal professional qualifications and hold a Mainland lawyer's practice certificate to engage in activities as agents in civil litigation cases relating to Hong Kong in the capacity of Mainland lawyers, according to the specific scope of permitted business provided in the relevant provisions of the judicial administrative authority.</p> <p>10. To allow Hong Kong barristers to act as agents in civil litigation cases in the Mainland in the capacity of citizens¹.</p>
--	--

¹ Should comply with the requirements set out under Article 58 of the Civil Procedure Law of the People's Republic of China.

	<p>11. To allow Hong Kong residents who have acquired Mainland lawyer qualifications or legal professional qualifications to undergo internship in a branch office of a Mainland law firm set up in Hong Kong in accordance with the <i>Outline for Practical Training and the Guidelines on Practical Training</i> as required in the Mainland.</p> <p>12. To allow Hong Kong legal practitioners who have at least 5 years' experience in legal practice and who have passed the Unified Legal Professional Qualification Examination in the Mainland to undergo the intensive training offered by lawyers associations in the Mainland that lasts for at least 1 month in accordance with the "Law of the People's Republic of China on Lawyers" and the provisions of the "Rules on Management of Internship for Application for Legal Practice" drawn up by the All-China Lawyers Association. Upon completion of the training and passing the assessment, they can apply to practise as lawyers in the Mainland.</p> <p>13. To waive the residency requirement in the Mainland for representatives stationed in representative offices of Hong Kong law firms in the Mainland.</p>
--	--

Sectors or Sub-sectors	1. Business services
	A. Professional services
	b. Accounting, auditing and bookkeeping services (CPC862)
Specific commitments	<p>1. Hong Kong accountants who have already qualified as Chinese Certified Public Accountants (CPAs) and practised in the Mainland (including partnership) are treated on par with Chinese CPAs in respect of the requirement for annual working period in the Mainland.</p> <p>2. To allow consultancy companies in the Mainland established by Hong Kong accountants that have satisfied the requirements of the Mainland's "Provisional Measures for the Administration of the Provision of Bookkeeping Services" to provide bookkeeping services. The person in charge of the bookkeeping services should hold the relevant Mainland's professional qualification (professional title) of accountant or above, or be in practice of accounting for not less than three years and be a full-time practitioner. Hong Kong accountants providing bookkeeping services should fulfil the relevant requirements set by the responsible Mainland financial ministries.</p> <p>3. When Hong Kong accountants apply for a practising licence in the Mainland, the length of auditing experience that they have acquired in Hong Kong is equivalent to the length of auditing experience acquired in the Mainland.</p> <p>4. The validity period of the "Provisional Licence to Perform Audit-Related Services", applied for by Hong Kong accounting firms for the purpose of conducting auditing business on a temporary basis in the Mainland, is extended to five years.</p>

	<p>5. To permit the setting up of an examination centre in Hong Kong for holding the Mainland's Accounting Professional Technician Qualification Examination.</p> <p>6. To suitably simplify the documentation requirements for applications for conducting business on a temporary basis in the Mainland by Hong Kong accounting firms.</p> <p>7. When Hong Kong permanent residents who have obtained the Chinese Certified Public Accountants (CPAs) qualification apply to become partners of accounting firms in the Mainland, the length of auditing experience that they have acquired in Hong Kong is considered equivalent to the length of auditing experience acquired in the Mainland.</p>
--	--

Sectors or Sub-sectors	1. Business services
	A. Professional services
	d. Architectural services (CPC8671) e. Engineering services (CPC8672) f. Integrated engineering services (CPC8673) g. Urban planning and landscape architectural services (CPC8674) Including Construction engineering cost consulting services
Specific commitments	<ol style="list-style-type: none"> 1. To relax the requirement of residence in the Mainland for Hong Kong professional and technical staff by counting their period of residence in Hong Kong as equivalent to their period of residence in the Mainland. 2. Hong Kong professionals, who have obtained Mainland's construction professional qualifications through mutual recognition, are allowed to register and practise in the Mainland and enjoy the same treatment as Mainland professionals having the same professional qualifications. 3. Hong Kong professionals, who have obtained Mainland's registered urban planner qualification, are allowed to register in the Mainland regardless of whether they are registered in Hong Kong. 4. Hong Kong professionals, who have obtained Mainland's supervision engineer qualification, are allowed to register and practise in the Mainland regardless of whether they are registered practitioners in Hong Kong. 5. Hong Kong professionals, who have obtained Mainland's supervision engineer qualification, are recognised as registered practitioners for the purpose

	<p>of declaration of supervision enterprise qualifications within the Mainland, in accordance with the relevant Mainland regulations.</p> <p>6. Hong Kong professionals, who have obtained Mainland's class 1 registered architect qualification by mutual recognition, are allowed to register and practise in the Mainland regardless of whether they are registered practitioners in Hong Kong.</p> <p>7. Hong Kong professionals, who have obtained Mainland's class 1 registered architect qualification, are allowed to act as partners to set up construction and engineering design offices in the Mainland in accordance with the relevant qualification requirements. For these enterprises, there is no restriction on the ratio of the number of the Hong Kong partners to the number of the Mainland partners, the ratio of the total capital contributed by the Hong Kong partners to that by the Mainland partners, and the period of residence in the Mainland for the Hong Kong partners.</p> <p>8. Hong Kong professionals, who have obtained Mainland's class 1 registered architect qualification through mutual recognition, are recognised as registered practitioners for the purpose of declaration of engineering design enterprise qualifications within the Mainland, in accordance with the relevant Mainland regulations.</p> <p>9. Hong Kong professionals, who have obtained through examination Mainland's registered architect qualification, are allowed to register and practise in the Mainland regardless of whether they are registered practitioners in Hong Kong. They are recognised as registered practitioners for the purpose of declaration of engineering design enterprise</p>
--	---

	<p>qualifications within the Mainland, in accordance with the relevant Mainland regulations.</p> <p>10. Hong Kong professionals, who have obtained Mainland's class 1 registered structural engineer qualification by mutual recognition, are allowed to register and practise in the Mainland regardless of whether they are registered practitioners in Hong Kong.</p> <p>11. Hong Kong professionals, who have obtained Mainland's class 1 registered structural engineer qualification, are allowed to act as partners to set up construction and engineering design offices in the Mainland in accordance with the relevant qualification requirements. For these enterprises, there is no restriction on the ratio of the number of the Hong Kong partners to the number of the Mainland partners, the ratio of the total capital contributed by the Hong Kong partners to that by the Mainland partners, and the period of residence in the Mainland for the Hong Kong partners.</p> <p>12. Hong Kong professionals, who have obtained Mainland's class 1 registered structural engineer qualification through mutual recognition, are recognised as registered practitioners for the purpose of declaration of engineering design enterprise qualifications within the Mainland, in accordance with the relevant Mainland regulations.</p> <p>13. Hong Kong professionals, who have obtained through examination Mainland's registered structural engineer, registered civil engineer (harbour and waterway), registered public facility engineer, registered chemical engineer or registered electrical engineer qualification, are allowed to register and practise in the Mainland regardless of whether they</p>
--	--

	<p>are registered practitioners in Hong Kong. They are recognised as registered practitioners for the purpose of declaration of engineering design enterprise qualifications within the Mainland, in accordance with the relevant Mainland regulations.</p> <p>14. When Hong Kong service suppliers set up construction and engineering design enterprises in the Mainland, they are allowed to employ architects and structural engineers registered in Hong Kong (who have not yet obtained the relevant professional qualifications in the Mainland), who may be regarded as key professional and technical personnel, but not registered personnel, in assessing the enterprise qualifications (assessment of the qualifications for key professional and technical personnel is limited to their academic qualifications, years of practice in construction design, Hong Kong registration qualifications, performance and reputation in the construction design sector, etc. but not their titles).</p> <p>15. Regarding elective courses of the continuing education which Mainland's registered architects have to attend, Hong Kong service suppliers are allowed to complete elective courses in Hong Kong or to be taught by Mainland teachers in Hong Kong. The arrangement for conducting elective courses of the continuing education must be recognised by the Mainland authorities.</p> <p>16. When foreign wholly-owned or joint-venture urban-rural planning enterprises declare their enterprise qualifications, Hong Kong professionals in their employment, who have obtained Mainland's registered planner qualification through mutual recognition, can be regarded as essential registered personnel during the assessment.</p>
--	---

	<p>17. Regarding the elective courses of continuing education which class 1 registered structural engineers have to take, Hong Kong service suppliers are allowed to complete the courses in Hong Kong or to be taught by teachers sent from the Mainland. The arrangements for taking the elective courses of continuing education are subject to the approval of the Mainland authorities.</p> <p>18. Regarding the elective courses of continuing education which supervision engineers have to take, Hong Kong service suppliers are allowed to complete all the courses in Shenzhen Municipality.</p> <p>19. Regarding the mandatory courses of continuing education for mutual recognition of professional qualification, Hong Kong service suppliers are allowed to complete all the courses in Hong Kong.</p> <p>20. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p> <p>21. To extend the scope of mutual recognition of qualifications; to renew the expired agreements for mutual recognition of professional qualifications, including agreements between structural engineers in Hong Kong and the Mainland, planners in Hong Kong and urban planners in the Mainland, building surveyors in Hong Kong and supervision engineers in the Mainland, and architects in Hong Kong and the Mainland.</p> <p>22. To arrange for mutual recognition of professional qualifications between architects, structural engineers and building surveyors of Hong Kong who possess the membership of professional institutions</p>
--	---

	<p>in Hong Kong, and architects, structural engineers, building surveyors of the Mainland who possess the membership of respective professional institutions in the Mainland.</p> <p>23. To allow professionals who obtained the qualification of General Practice Surveyors in Hong Kong to directly register for practice in Qianhai of Shenzhen, Hengqin of Zhuhai and Nansha of Guangzhou and enable them to provide real estate appraisal services without the need to obtain professional qualification in the Mainland through mutual recognition.</p>
--	---

Sectors or Sub-sectors	1. Business services
	A. Professional services
	h. Medical and dental services (CPC9312) j. Services provided by midwives, nurses, physiotherapists and para-medical personnel (CPC93191) Including Pharmaceutical services
	8. Health related and social services (excluding those listed in the professional services)
	A. Hospital services B. Other human health services
	Hospital services (CPC9311) Convalescent hospital services
Specific commitments	<p>1. Statutory healthcare professionals who are registered to practise in Hong Kong are allowed to provide short-term services in the Mainland.</p> <p>2. The maximum duration of the short-term practice is three years. On expiry, new application procedures should be made for renewing the short-term practice.</p> <p>3. Hong Kong permanent residents who are legally eligible to practise western medicine, dentistry and Chinese medicine in the Hong Kong Special Administrative Region are not required to sit the Mainland's qualification examination for the purpose of short-term practice in the Mainland.</p> <p>4. To allow Hong Kong permanent residents, who have acquired a medicine degree or above from the University of Hong Kong, or the Chinese University of Hong Kong, and who have completed one-year internship in Hong Kong and are legally eligible to practise in Hong Kong, to sit the Mainland's qualification examination. A "medical practitioner's</p>

	<p>qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>5. To allow Hong Kong permanent residents who have acquired a dental degree or above from the University of Hong Kong and who are legally eligible to practise in Hong Kong and have been practising for more than one year to sit the Mainland's qualification examination. A "medical (dental) practitioner's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>6. To allow Hong Kong permanent residents in possession of a medicine degree or above of the Mainland to sit the Mainland's qualification examination after they have completed one-year uninterrupted internship under the supervision of a practising doctor in a tier 3 (tertiary) hospital and passed an assessment, or after they have passed the Hong Kong qualification examination and completed one-year internship and become legally eligible to practise in Hong Kong. A "medical practitioner's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>7. To allow Hong Kong permanent residents in possession of a dental degree or above of the Mainland to sit the Mainland's dental qualification examination after they have completed one-year uninterrupted internship under the supervision of a practising dentist in a tier 3 (tertiary) hospital and passed an assessment, or after they have become legally eligible to practise through examination in Hong Kong and have been practising in Hong Kong for more than one year. A "medical (dental) practitioner's qualification certificate" of the</p>
--	---

	<p>Mainland will be issued to those who pass the examination.</p> <p>8. To allow Hong Kong permanent residents who have acquired a Chinese medicine degree from the Chinese University of Hong Kong, or the Hong Kong Baptist University, or the University of Hong Kong and are legally eligible to practise in Hong Kong to sit the Mainland's qualification examination on the condition that they have completed one-year internship in the Mainland and passed an assessment in accordance with the relevant requirements, or that they have been practising in Hong Kong for more than one year. A "medical (traditional Chinese medicine) practitioner's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>9. To allow Hong Kong permanent residents who are in possession of a Chinese medicine higher degree from a full-time tertiary institution approved by the Education Administration Department of the Mainland State Council to sit the Mainland's medical qualification examination after they have become legally eligible to practise in Hong Kong through the Chinese medicine qualification examination and have been practising for more than one year. They may also sit the Mainland's medical qualification examination after they have completed one-year internship in the Mainland and passed an assessment in accordance with the relevant requirements. A "medical (traditional Chinese medicine) practitioner's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>10. The categories of medical qualification examinations that Hong Kong permanent residents may apply to</p>
--	--

	<p>sit are clinical medicine, traditional Chinese medicine and dental medicine.</p> <p>11. To allow Hong Kong permanent residents who are legally eligible to practise western medicine and dentistry in Hong Kong to sit the Mainland's medical qualification examination (excluding Chinese medicine practitioners). A "medical practitioner's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>12. Qualified Hong Kong permanent residents with Chinese citizenship are allowed to apply for and obtain the Mainland's "medical practitioner's qualification certificates" through accreditation.</p> <p>13. To allow Hong Kong permanent residents who are licensed Hong Kong pharmacists and who fulfil the application criteria under the Mainland's Provisional Regulations on Licensed Pharmacists Qualification System (No. 34[1999] of the State Food & Drug Administration) to sit the Mainland's licensed pharmacists qualification examination. A "licensed pharmacist's qualification certificate" of the Mainland will be issued to those who pass the examination.</p> <p>14. To allow Hong Kong permanent residents who are licensed Hong Kong pharmacists, after obtaining the "licensed pharmacist's qualification certificate", to register in accordance with requirements under the Mainland's Provisional Mechanism for Licensed Pharmacists Registration Management (No. 156[2000] of the State Food and Drug Administration) and under other relevant documents.</p> <p>15. To allow Hong Kong permanent residents to apply for registration as Mainland practising pharmacists</p>
--	---

	<p>in accordance with the relevant Mainland's regulations.</p> <p>16. To allow Hong Kong service suppliers to provide, in the mode of cross-border supply, services classified under this sector or sub-sector in the Mainland.</p> <p>17. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>
--	---

Sectors or Sub-sectors	1. Business services
	A. Professional services
	i. Veterinary services (CPC932)
Specific commitments	To allow Hong Kong residents who obtained the qualification as national practising Licensed Veterinarians to practise in the Mainland.

Sectors or Sub-sectors	1. Business services
	A. Professional services
	k. Other (Patent agency, Trade mark agency etc.) (CPC8921-8923)
Specific commitments	<ol style="list-style-type: none"> 1. To allow contractual service providers employed by Hong Kong service suppliers to provide services under this sector or sub-sector in the Mainland within the scope allowed by the relevant rules and regulations. 2. To allow eligible Hong Kong permanent residents with Chinese citizenship to take the National Qualification Examination for Patent Attorneys in the Mainland. A Patent Attorney Qualification Certificate will be issued to those who pass the examination. 3. Hong Kong permanent residents with Chinese citizenship who have obtained the Patent Attorney Qualification Certificates may practise in patent agencies established with permission in the Mainland. Those who meet the prescribed requirements may become partners or shareholders of patent agencies established with permission in the Mainland.

Sectors or Sub-sectors	1. Business services
	B. Computer and related services
	<ul style="list-style-type: none"> a. Consultancy services related to the installation of computer hardware (CPC841) b. Software implementation services (CPC842) c. Data processing services (CPC843) d. Database services (CPC844, except network operation services and value-added network services) e. Other (CPC845, 849)
Specific commitments	<ul style="list-style-type: none"> 1. To allow Hong Kong service suppliers to provide cross-boundary database services in Qianhai and Hengqin on a pilot basis. 2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	1. Business services
	D. Real estate services
	b. Real estate services on a fee or contract basis (CPC822)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	1. Business services
	F. Other business services
	d. Services related to management consulting (CPC8660) Project management services other than for construction (CPC86601)
Specific commitments	To allow Hong Kong service suppliers to provide, in the form of cross-border supply, project management services other than for construction in services related to management consulting.

Sectors or Sub-sectors	1. Business services
	F. Other business services
	e. Technical testing and analysis services (CPC8676) and Product testing services (CPC749)
Specific commitments	<p>1. To allow testing organisations in Hong Kong to cooperate with designated Mainland organisations to undertake testing of products for the China Compulsory Certification (CCC) System on all products that require CCC. These testing organisations have to be accredited by the accreditation body of the Government of the Hong Kong Special Administrative Region to be competent of performing testing of the relevant products under the CCC System. Cooperation arrangements should comply with relevant requirements in the Regulations on Certification and Accreditation of the People's Republic of China.</p> <p>2. To allow certification bodies in Hong Kong to cooperate with Mainland CCC certification bodies on CCC factory inspection through assigning inspectors to carry out such inspection of factories manufacturing CCC products in the whole Mainland. These certification bodies have to be accredited by the accreditation body of the Government of the Hong Kong Special Administrative Region to be competent of performing certification of relevant products under the CCC System</p> <p>3. To allow certification bodies in Hong Kong to cooperate with Mainland CCC certification bodies to select post-certification test samples at factories manufacturing CCC products in the whole Mainland. These certification bodies have to be accredited by the accreditation body of the Government of the</p>

	<p>Hong Kong Special Administrative Region to be competent of performing certification of relevant products under the CCC System.</p> <p>4. In the area of voluntary certification, to allow testing organisations in Hong Kong to cooperate with Mainland certification bodies to undertake testing of products manufactured or processed in Hong Kong or the Mainland. These testing organisations have to be accredited by the accreditation body of the Government of the Hong Kong Special Administrative Region to be competent in performing testing of relevant products.</p> <p>5. To implement, on a pilot basis, a mutual recognition arrangement of certification and relevant testing business among Guangdong, Hong Kong and Macao in the China (Guangdong) Pilot Free Trade Zone, with a view to carrying out “certified once, tested once, and results accepted by three places”.</p> <p>6. On the basis of mutual trust and mutual benefit, to allow testing and certification organisations in Hong Kong to cooperate with Mainland testing and certification organisations in respect of acceptance of testing data (results). Specific cooperation arrangements are subject to further discussion.</p> <p>7. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>
--	---

Sectors or Sub-sectors	1. Business services
	F. Other business services
	k. Placement and supply services of Personnel (CPC872)
Specific commitments	<p>1. To allow the international ship management companies set up by Hong Kong service suppliers in the form of wholly-owned enterprises, equity joint ventures or contractual joint ventures in the Mainland, in their application for the qualification to conduct dispatch of seafarers type of foreign labour service co-operation, without the need to apply for the qualification in foreign invested personnel placement agencies or talent intermediary agencies.</p> <p>2. Hong Kong service suppliers are allowed to directly apply in Guangdong Province to set up wholly-owned seafarer despatch enterprises to provide seafarer despatch services to Hong Kong - registered ships, without the need for setting up ship management companies.</p>

Sectors or Sub-sectors	1. Business services
	F. Other business services
	o. Building-cleaning services (CPC 874)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	1. Business services
	F. Other business services
	p. Photographic services (CPC875)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	1. Business services
	F. Other business services
	r. Printing and publishing services (CPC88442)
Specific commitments	<p>1. 1. To simplify the approval procedures for importing Hong Kong books and to establish a Green Passage for importing Hong Kong books.</p> <p>2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland¹.</p>

¹ Referring to printing and its auxiliary services.

Sectors or Sub-sectors	1. Business services
	F. Other business services
	s. Convention and exhibition services (CPC87909)
Specific commitments	<p>1. To allow Hong Kong service suppliers to organise exhibitions in the form of cross-border supply.</p> <p>2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>

Sectors or Sub-sectors	1. Business services
	F. Other business services
	t. Other (CPC8790) - Duplicating services (CPC87904) - Translation and interpretation services (CPC87905)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	2. Communications services
	C. Telecommunications services <ul style="list-style-type: none"> a. Voice telephone services b. Packet-switched data transmission services c. Circuit-switched data transmission services d. Telex services e. Telegraph services f. Facsimile services g. Private leased circuit services h. Electronic mail services i. Voice mail services j. On-line information and data base retrieval services k. Electronic data interchange (EDI) services l. Enhanced/value-added facsimile services, including store and forward, store and retrieve m. Code and protocol conversion services n. On-line information and/or data processing (including transaction processing) o. Other (paging, teleconference, transoceanic mobile communications, air-to-ground communications, etc.)
Specific commitments	<ol style="list-style-type: none"> 1. To allow Hong Kong service suppliers to distribute in the Mainland fixed/mobile telephone service cards which can only be used in Hong Kong (excluding mobile satellite phone service cards) 2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide the following telecommunications services in the Mainland: <ol style="list-style-type: none"> 1) Online data processing and transaction processing (confined to e-commerce business websites only); 2) Call centre services; 3) Internet access services.

Sectors or Sub-sectors	2. Communications services
	D. Audiovisual services
	<ul style="list-style-type: none"> - Videos and sound recording products - Chinese language motion pictures and motion pictures jointly produced - Technical services of cable television - Jointly produced television dramas - Imported dramas - Other television programmes - Television animations - Motion picture or video tape production services (CPC96112) - Others
Specific commitments	<p>Videos and sound recording products</p> <ol style="list-style-type: none"> 1. To allow motion pictures produced by Hong Kong to screen the original sound track of the dialects spoken in the motion pictures when it is contextually required, on the condition that standard Chinese subtitles are provided on screen. 2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under the specific liberalisation commitments of this sector or sub-sector in the Mainland. <p>Chinese language motion pictures and motion pictures jointly produced</p> <ol style="list-style-type: none"> 3. Chinese language motion pictures produced in Hong Kong after being vetted and approved by the relevant Mainland authority may be solely imported through the China Film Group Corporation and distributed in the Mainland by distributors possessing "Operation Licence for Film Distribution", without any restriction on import quotas.

	<p>4. Chinese language motion pictures produced in Hong Kong refer to those motion pictures made by production companies which are set up or established in accordance with the relevant laws of the Hong Kong Special Administrative Region, and which own more than 50% of the copyright of the motion pictures concerned. Hong Kong residents should comprise more than 50% of the total principal personnel¹ in the motion pictures concerned.</p> <p>5. Motion pictures jointly produced by Hong Kong and the Mainland are treated as Mainland motion pictures for the purpose of distribution in the Mainland. Translated versions of the motion pictures in languages of other Chinese ethnic groups and Chinese dialects, which are based on the Putonghua version, are allowed to be distributed in the Mainland.</p> <p>6. For motion pictures jointly produced by Hong Kong and the Mainland, there is no restriction on the percentage of principal creative personnel and artistes as well as the Mainland-related content.</p> <p>7. To remove the restriction on the number of Hong Kong people participating in Mainland film productions.</p> <p>8. To allow the dialect version of motion pictures co-produced by Hong Kong and the Mainland to be distributed and screened in the Mainland, after obtaining the approval of the relevant authorities in the Mainland, on the condition that standard Chinese subtitles are provided on screen.</p>
--	--

¹ Principal personnel includes personnel performing the roles of director, screenwriter, leading actor, leading actress, supporting actor, supporting actress, producer, cinematographer, editor, art director, costume designer, action choreographer, and composer of the original film score.

	<p>9. To allow the dialect version of motion pictures produced by Hong Kong after being vetted and approved by the relevant Mainland authority to be solely imported by the China Film Group Corporation and distributed in the Mainland by distributors possessing “Operation Licence for Film Distribution”, on the condition that standard Chinese subtitles are provided on screen.</p> <p>10. To waive the fees for establishing the Mainland and Hong Kong co-production motion pictures projects.</p> <p>11. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under the specific liberalisation commitments of this sector or sub-sector in the Mainland.</p> <p>Technical services of cable television</p> <p>12. To allow Hong Kong companies engaging in the operation of cable television networks to provide professional technical services for cable television networks in the Mainland after obtaining the approval of the relevant Mainland authorities.</p> <p>Jointly produced television dramas</p> <p>13. Television dramas co-produced by the Mainland and Hong Kong are permitted to be broadcast and distributed in the same way as Mainland produced television dramas after being examined by the relevant Mainland authorities.</p> <p>14. Television dramas co-produced by the Mainland and Hong Kong should be subject to the same standard on the number of episodes as that applicable to</p>
--	---

	<p>Mainland produced television dramas.</p> <p>15.To relax the restrictions on the percentage of principal creative personnel, Mainland-related content, investment proportion, etc. for television dramas co-produced by Hong Kong and the Mainland; and to shorten the time limit for approval of synopses for any proposal of television drama to be co-produced by the Mainland and Hong Kong.</p> <p>16.National Radio and Television Administration has delegated to the provincial radio and television administration the authority of examining the finished tapes of Mainland produced television dramas which are produced by the production organisations under the provinces, autonomous regions or municipalities and participated by artistes or production crew from Hong Kong.</p> <p>17.For any proposal of television drama to be co-produced by the Mainland and Hong Kong programme production organisations, the minimum number of words in Chinese contained in the synopsis of each episode is revised to 1500.</p> <p><u>Imported dramas</u></p> <p>18.To remove the restriction on the number of Hong Kong produced television dramas imported by Mainland television stations, audiovisual websites and cable television networks, and to relax the restriction on the number and time of broadcast of Hong Kong produced television dramas.</p> <p>Other television programmes</p> <p>19.To remove the restriction on the number of Hong Kong people participating in the production of</p>
--	---

	<p>Mainland television programmes and television dramas</p> <p>20. To remove the restriction on the number of Hong Kong people participating in the production of Mainland online audiovisual programmes.</p> <p>21. To allow television programmes other than television dramas co-produced by the Mainland and Hong Kong to be broadcast and distributed in the same way as those produced by the Mainland, after obtaining the approval of the relevant Mainland authorities.</p> <p><u>Television animations</u></p> <p>22. To remove the restriction on the number of Hong Kong produced animation television programmes imported by Mainland television stations, audiovisual websites and cable television networks; and to relax the restriction on the number and time of broadcast of Hong Kong produced animation television programmes in the Mainland.</p> <p>23. To allow animation television programmes co-produced by the Mainland and Hong Kong to be broadcast and distributed in the same way as those produced by the Mainland, after obtaining the approval of the relevant Mainland authorities.</p>
--	--

Sectors or Sub-sectors	3. Construction and related engineering services (CPC511, 512, 513 ¹ , 514, 515, 516, 517, 518 ²)
Specific commitments	<p>1. For Hong Kong service suppliers setting up construction enterprises in the Mainland, there will be no restriction on the proportion of Hong Kong permanent residents being project managers accredited by the qualification administration authorities.</p> <p>2. From the signing date of CEPA Supplement VI and before promulgation of the new "Standards for the Qualifications of Construction Enterprises", the recognition policy of the then-Ministry of Construction for Hong Kong Project Managers concerning the qualification assessment of Hong Kong-invested construction enterprises in the Mainland remains unchanged. The recognition of Hong Kong Project Managers remains valid for the purpose of qualification administration of such enterprises employing them originally.</p> <p>3. After promulgation of the new "Standards for the Qualifications of Construction Enterprises", the originally recognized Hong Kong Project Managers are allowed to continue to act as Project Managers of projects that they contracted or have commenced construction before promulgation of the standards, until completion.</p> <p>4. The residency requirement is waived for Hong Kong permanent residents employed as engineering technical staff and economic managerial staff in construction enterprises in the Mainland set up by Hong Kong service suppliers.</p>

¹ Including dredging services relating to infrastructure construction.

² The coverage is limited only to the rental and leasing services of construction and/or demolition equipment (with operators) which are owned and used by foreign construction enterprises in the course of providing services.

	<p>5. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>
--	--

Sectors or Sub-sectors	4. Distribution services
	<p>B. Wholesale trade services (CPC622, except wholesale trade of books, newspapers, magazines and cultural relics)</p> <p>C. Retailing services (CPC631, 632, 6111, 6113, 6121)</p>
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	4. Distribution services
	C. Retailing services (retailing services of books, newspapers, magazines and cultural relics)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	5. Educational services
	C. Higher education services (CPC923)
Specific commitments	<ol style="list-style-type: none"> 1. To allow Guangdong Province to implement the admission of Hong Kong students to regular higher education institutions in the province. 2. To allow Hong Kong institutions fulfilling the conditions required for recruiting students in the Mainland to increase the student quota for admitting Mainland students and to actively increase the quota for admitting Mainland students from the Guangdong-Hong Kong-Macao Greater Bay Area, provided that the institutions comply with the requirements for recruiting students in the Mainland.

Sectors or Sub-sectors	6. Environmental services (excluding environmental quality monitoring and pollution source investigation)
	A. Sewage services (CPC9401) B. Refuse disposal services (CPC9402) C. Sanitation and similar services (CPC9403) D. Cleaning services of exhaust gases (CPC9404) E. Noise abatement services (CPC9405) F. Nature and landscape protection services (CPC9406) G. Other environmental protection services (CPC9409)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	7. Financial services
	A. All insurance and insurance-related services (CPC812)
	<ul style="list-style-type: none"> a. Life, accident and health insurance services (CPC8121) b. Non-life insurance services (CPC8129) c. Reinsurance and retrocession (CPC81299) d. Services auxiliary to insurance (including broking and agency services, consultancy services, actuarial services) (CPC8140)
Specific commitments	<ul style="list-style-type: none"> 1. To allow Hong Kong residents with Chinese citizenship, after obtaining the Mainland's professional qualifications in actuarial science, to practise in the Mainland without prior approval. 2. To allow Hong Kong residents, after obtaining the Mainland's insurance practitioners' qualifications and being employed or appointed by a Mainland insurance institution, to engage in the relevant insurance business. 3. To permit the setting up of an examination centre in Hong Kong for the Mainland qualifying examinations for insurance intermediaries. 4. To encourage Mainland insurance companies to cede their business to Hong Kong insurance or reinsurance companies with RMB as the settlement currency. 5. On the basis of regulatory equivalence, to apply more relaxed requirements of credit risk for Mainland insurance companies ceding reinsurance businesses to qualified Hong Kong insurers and continue to consider taking forward other preferential policies

	<p>under equivalence.</p> <ol style="list-style-type: none"> 6. To encourage Hong Kong insurance companies to continue expanding the scale of their outward reinsurance business placements to the Mainland reinsurance companies. 7. To allow Guangdong insurance companies that fulfil regulatory requirements to entrust Hong Kong insurance companies to develop RMB insurance policies selling services in Hong Kong. These insurance companies must strictly follow the requirements of relevant insurance laws, regulations and codes, and their businesses being carried on in a regulated manner with a view to enhancing mutual development of insurance markets. 8. To relax requirements for Mainland insurance companies or large corporates setting up special purpose insurers (SPI) in Hong Kong via intermediary companies for the purpose of issuing catastrophe bonds, such as restriction on rating, capital and solvency criteria, and support Mainland insurance companies with wilful intention to issue catastrophe bonds in the Hong Kong market.
--	---

Sectors or Sub-sectors	7. Financial services
	B. Banking and other financial services (excluding insurance)
	<ul style="list-style-type: none"> a. Acceptance of deposits and other repayable funds from the public (CPC81115-81119) b. Lending of all types, including, inter alia, consumer credit, mortgage credit, factoring and financing of commercial transaction (CPC8113) c. Financial leasing (CPC8112) d. All payment and money transmission services (CPC81339) e. Guarantees and commitments (CPC81199) f. Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or otherwise, the following: <ul style="list-style-type: none"> f1. money market instruments (CPC81339) f2. foreign exchange (CPC81333) f3. derivative products including, but not limited to, futures and options (CPC81339) f4. exchange rate and interest rate instruments, including products such as swaps, forward rate agreements, etc. (CPC81339) f5. transferable securities (CPC81321) f6. other negotiable instruments and financial assets, including bullion (CPC81339) g. Participation in issues of all kinds of securities (CPC8132) h. Money broking (CPC81339) i. Asset management (CPC8119, 81323) j. Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments (CPC81339 or 81319)

	<p>k. Advisory and other auxiliary financial services (CPC8131 or 8133)</p> <p>l. Provision and transfer of financial information, and financial data processing and related software by providers of other financial services (CPC8131)</p>
Specific commitments	<p>1. To allow any Mainland-incorporated banking institution established by a Hong Kong bank that meets the following criteria to locate its data centre in Hong Kong:</p> <ul style="list-style-type: none"> (1) Incorporated in the Mainland on or before 30 June 2008; (2) At the time of its incorporation, its parent bank has already established a data centre in Hong Kong; (3) The data centre for the Mainland business (which should include core systems such as those for customer, accounting and product information) should operate independently; (4) Its board of directors and senior management should assume the ultimate oversight and accountability over the management of the data centre for the Mainland business; and (5) The data centre for the Mainland business should meet the relevant supervisory requirements and be subject to the approval of the relevant Mainland authorities. <p>2. To develop a more diversified offshore RMB product market and increase channels for two-way fund flows.</p> <p>3. Relevant agencies of both sides shall affirm concluded cooperation agreements on mutual recognition of banking professional qualifications and further promote and expand the work on mutual recognition of banking professional qualifications.</p>

	<ol style="list-style-type: none"> 4. To simplify the relevant procedures for Hong Kong professionals ¹ applying in the Mainland for securities and futures industry qualifications. Hong Kong professionals applying to obtain securities and futures industry qualifications of the Mainland need only to undertake training and pass examination on Mainland laws and regulations; and examination on professional knowledge is not required. 5. To support qualified Mainland securities companies approved by the China Securities Regulatory Commission or other financial institutions engaged in securities activities to set up subsidiaries in Hong Kong according to relevant requirements and operate businesses in accordance with the laws. The timeframe for Mainland securities companies to complete registration for their subsidiaries is extended from six months to one year. 6. To allow the Hong Kong Exchanges and Clearing Limited to set up a representative office in Beijing. 7. To allow Mainland fund management companies approved by the China Securities Regulatory Commission to establish subsidiaries in Hong Kong to operate relevant businesses. 8. To allow subsidiaries set up in Hong Kong by qualified Mainland futures companies in developing business in Hong Kong in accordance with the laws. 9. To explore further lowering of the eligibility requirements for QDII scheme and to increase relevant investment quotas.
--	--

¹ In this sector, professionals refer to Hong Kong permanent residents who have been licensed by the Securities and Futures Commission of Hong Kong.

	<p>10. To deepen the co-operation between the Mainland and Hong Kong in financial services and product development and launch ETF (open-ended index-tracking exchange-traded fund), of which portfolios are constituted by Hong Kong-listed stocks in the Mainland.</p> <p>11. To proactively implement, and explore ways to promote, the Mainland-Hong Kong Mutual Recognition of Funds scheme.</p> <p>12. To enhance the variety of products under the Mainland-Hong Kong Stock Connect and allow companies with weighted voting rights structure as listed in Hong Kong to be included as eligible securities under Stock Connect upon fulfilment of certain criteria.</p> <p>13. To consider extending the scope of eligible products under the mutual market access programme by including ETFs (i.e. open-ended index-tracking exchange-traded funds).</p> <p>14. To deepen the opening-up of the Mainland securities and futures market and to support Hong Kong institutions' investments in the Mainland securities and futures market by means of the RMB Qualified Foreign Institutional Investor scheme (RQFII) and QFII.</p> <p>15. To explore promoting RMB bond issuances on the Mainland exchanges by eligible Hong Kong companies.</p> <p>16. To support eligible Hong Kong financial institutions to conduct direct investment activities in the nine Pearl River Delta municipalities in the Guangdong-Hong Kong-Macao Greater Bay Area,</p>
--	---

	<p>such as establishing, injecting capital into, or becoming a shareholder of financial institutions in the nine Pearl River Delta municipalities in the Guangdong-Hong Kong-Macao Greater Bay Area.</p> <p>17. To allow financial institutions in China (Guangdong) Pilot Free Trade Zone to, in accordance with relevant regulations, provide services to individual investors in the Pilot Free Trade Zone for investing in stocks, bonds and other negotiable securities in Hong Kong's capital market.</p> <p>18. To launch cross-boundary financial management pilot programmes that support Mainland residents in the Guangdong-Hong Kong-Macao Greater Bay Area in purchasing wealth management products sold by Hong Kong banks, through Hong Kong banks, and Hong Kong residents in purchasing wealth management products sold by Mainland banks, through Mainland banks in the Guangdong-Hong Kong-Macao Greater Bay Area.</p> <p>19. To support Hong Kong-funded non-bank payment institutions to conduct electronic payment business in the Mainland.</p> <p>20. To study the launch of Southbound Trading under Bond Connect.</p> <p>21. To allow Hong Kong-funded banks to take capital guarantee deposits for Mainland insurance companies.</p>
--	---

Sectors or Sub-sectors	8. Health related services and social services
	C. Social services
	<p>Welfare services delivered through residential institutions to old persons and the handicapped (CPC93311)</p> <p>Welfare services not delivered through residential institutions (CPC93323)</p>
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide social welfare services in the Mainland to old persons and the handicapped through residential institutions (CPC93311) and not through residential institutions (CPC93323).

Sectors or Sub-sectors	9. Tourism and travel related services
	<p>A. Hotels (including apartment buildings) and restaurants (CPC641-643)</p> <p>B. Travel agency and tour operator (CPC7471)</p> <p>C. Tourist guides services (CPC7472)</p> <p>Others</p>
Specific commitments	<ol style="list-style-type: none"> 1. To allow residents of Beijing and other Mainland municipalities (a total of 49 municipalities) to visit Hong Kong individually for tourism, among which to allow the same in respect of the whole of Guangdong Province not later than 1 July 2004. 2. Optimising the current "144-hour facilitation visa" policy in Guangdong Province through relaxing the requirement to pre-register the outbound control point, and reviewing the requirement regarding tour size at an appropriate juncture. 3. Optimising the "144-hour visa-exemption transit" policy for foreign group tours entering the Pearl River Delta Area and Shantou from Hong Kong through increasing the number of inbound control points and expanding the area allowed to stay. 4. To allow Hong Kong permanent residents with Chinese citizenship to take the "Mainland Qualification Examination for Tourist Guide". Those who have passed the examination can obtain the "Mainland Tourist Guide Certificate" and register to obtain tourist guide credential in accordance with the relevant requirements. Those who have obtained the Mainland tourist guide credential can become Mainland outbound tour escort by filing of records (excluding tour escort credential for outbound group tours to Taiwan) in accordance with the relevant requirements. 5. Mainland travel agents authorised to operate group tours to Taiwan can organise group tours for Mainland residents who hold valid exit/entry permit

	<p>for travelling to and from Taiwan and travel endorsement (type L) to enter and remain in Hong Kong in transit. This measure aims to facilitate travel trade in the Mainland and Hong Kong to develop multi-destination tour products.</p> <p>6. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>
--	---

Sectors or Sub-sectors	10. Recreational, Cultural and Sporting services
	A. Entertainment services (other than audiovisual services) (CPC9619)
Specific commitments	<ol style="list-style-type: none"> 1. To allow performing arts agencies or performing arts groups of Hong Kong to organise commercial performances in the form of cross-border supply in the Guangdong Province and the Shanghai Municipality on a pilot basis upon approval of the relevant authorities of that Province or Municipality. Performing arts agencies and performing arts groups organising performances in the Mainland should seek prior approval of the Ministry of Culture and Tourism. 2. The time limit for completion of the examination of contents (including examination conducted by experts) of imported online game products developed by Hong Kong shall be 2 months, subject to provision of full supporting documents. 3. To allow Hong Kong service suppliers to engage in the sales services of amusement game equipment in the Mainland. 4. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	10. Recreational, Cultural and Sporting services
	C. Library, archive, museum and other cultural services (CPC963)
Specific commitments	To further develop closer cooperation between the library sectors in the Mainland and Hong Kong, and to explore potential cooperation in the provision of library services.

Sectors or Sub-sectors	10. Recreational, Cultural and Sporting services
	D. Sporting and other recreational services (CPC964)
	Sporting services (CPC96411, 96412, 96413)
Specific commitments	<p>1. To allow Hong Kong service suppliers to provide, in the mode of cross-border supply, services classified under this sector or sub-sector in the Mainland.</p> <p>2. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.</p>

Sectors or Sub-sectors	11. Transport services
	A. Maritime transport services
	<ul style="list-style-type: none"> - International transport (freight and passengers) (CPC7211, 7212, excluding cabotage transport services) - Container station and depot services - Others
	H. Auxiliary services
	b. Storage and warehouse services (CPC742) c. Freight forwarding agency services (CPC748, 749, excluding freight inspection)
Specific commitments	<ol style="list-style-type: none"> 1. To allow Hong Kong registered maritime enterprises and ships to operate maritime transportation between Hong Kong and Mainland's ports that are open to foreign vessels. 2. To allow contractual service providers employed by Hong Kong service suppliers¹, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland. 3. To allow Hong Kong service suppliers to use liner vessels serving main routes to move, without any restrictions, empty containers that they own or rent, as long as customs procedures are observed.

¹ In this sector, the Hong Kong service suppliers must be enterprise juridical persons.

Sectors or Sub-sectors	11. Transport services
	C. Air transport services
	<ul style="list-style-type: none"> - Airport operation services (excl. cargo handling) (CPC74610) - Other supporting services for air transport (CPC74690) - Computer reservation system (CRS) services - Sales and marketing services for air transport
Specific commitments	<ol style="list-style-type: none"> 1. To allow Hong Kong service suppliers to provide, in the mode of cross-border supply, contract management services for small and medium airports. The period of validity of the contract should not exceed 20 years. 2. To allow Hong Kong service suppliers to provide, in the mode of cross-border supply or consumption abroad, airport management training and consultation services. 3. To allow Hong Kong service suppliers to provide, in the mode of cross-border supply, sales agency services for international flights or regional flights between Hong Kong, Macao and Taiwan for the Mainland. 4. To allow Hong Kong airlines to sell air tickets and hotel packages in their offices in the Mainland or through their official websites, without engaging Mainland sales agents. 5. To allow contractual service providers employed by Hong Kong service suppliers to provide sales and marketing services for air transport (limited to air transport sales agencies) in the Mainland, except that providers not eligible for the statutory operating body qualification shall not engage in such services activities.

Sectors or Sub-sectors	11. Transport services
	F. Road transport services
	<ul style="list-style-type: none"> a. Road passenger transportation (CPC7121, 7122) b. Freight transportation by road in trucks or cars (CPC7123) c. Rental of commercial vehicles with operator (CPC7124) d. Maintenance and repair of road transport equipment (CPC6112, CPC8867) e. Supporting services for road transport services (CPC744)
Specific commitments	<ol style="list-style-type: none"> 1. To allow Hong Kong service suppliers to provide direct non-stop road freight transport services between Hong Kong and individual provinces, municipalities and autonomous regions in the Mainland. 2. To introduce examination papers in traditional Chinese characters for Hong Kong drivers participating in the Mainland driving licence examinations and to designate an examination venue in Shenzhen for Hong Kong drivers to facilitate access. 3. To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Sectors or Sub-sectors	12. Other Services Not Included Elsewhere
	B. Other services (CPC97)
	After-death services facilities (CPC9703)
Specific commitments	To allow contractual service providers employed by Hong Kong service suppliers, in the mode of movement of natural persons, to provide services under this sector or sub-sector in the Mainland.

Others	Professional and technical personnel qualification examinations ¹
Specific commitments	<p>1. To allow eligible Hong Kong residents to take the following qualification examinations for professionals and technicians in the Mainland: registered architect, registered structural engineer, registered civil engineer (geotechnical), construction supervising engineer, cost engineer, registered town planner, estate agent, registered fire engineers, certified safety engineer, registered nuclear safety engineer, constructor, registered public facility engineer, registered chemical engineer, registered civil engineer (harbour and waterway), registered facility supervising engineer, registered land surveying and design engineers, cost evaluator, enterprise legal consultant, cotton quality examiner, auctioneer, medical practitioner specialized in public health, practising pharmacist, environmental impact assessment engineer, real estate appraiser, registered electrical engineer, tax agent, certified public valuer, prosthetist and orthotist, mining rights assessor, registered consulting engineer (investment), international business, land registration agent, gemstone quality examiner; quality, translation, computing technology and software, auditing, health, economic, statistics, accountant professional, communications professional qualification. Certificates of the relevant professional qualifications will be issued to those who pass the examination.</p> <p>2. To allow eligible Hong Kong permanent residents to take the qualification examination for land surveyors in the Mainland, and a qualification certificate will be</p>

¹ Examination subjects in the List may change according to the State's abatement of certification of vocational qualifications and approvals of job requirements. Specific subject(s) announced by the State Council shall prevail.

	<p>issued to those who pass the examination.</p> <p>3. To allow eligible Hong Kong residents to apply in the Guangdong Province to take the qualification examination for veterinary practitioners in the whole Mainland, and a corresponding qualification certificate will be issued to those who pass the examination.</p>
--	---

Others	Individually Owned Stores ¹
Specific commitments	<p>1. To allow Hong Kong permanent residents with Chinese citizenship to set up, in accordance with the relevant Mainland laws, regulations and administrative regulations, individually owned stores in all provinces, autonomous regions, municipalities directly under the Central Government in the Mainland, without being subject to approval procedures applicable to foreign investments, excluding franchising operation. Scope of business includes: grain cropping; cropping of vegetables, ornamental crops such as edible mushroom; fruit cropping; nut cropping; spice cropping; cropping of Chinese herbal medicine; forestry²; animal husbandry; poultry husbandry; aquaculture; irrigation services; primary processing services of agricultural products (excluding processing of seed cotton); other agricultural services; forestry services; animal husbandry services; fishery services (aquaculture breeding production permits required); grinding of grains (excluding processing of rice and flour); processing of meat products and sub-products (excluding western meat products processing items of 3000 tonne/year or below); aquatic products refrigeration processing; minced fish products and aquatic products dry-cure processing (excluding frozen minced seawater fish production line); processing of vegetables, fruits and nuts; manufacture of starch and starch products (excluding wet process production line of corn starch with annually-processed corn below 300 thousand tonnes and oven-dry yield below 98%); manufacture of bean products; processing of egg products; manufacture of baked food; manufacture of candies, chocolate and succade; manufacture of convenience food; manufacture of dairy products [excluding</p>

¹ With respect to the organisation form of individually owned stores, all liberalisation commitments made by the Mainland to Hong Kong service suppliers are set out in the form of Positive List in accordance with the Industry Classification for the National Economy (GB/T 4754-2011).

² The development of commercial plantation for wood-based oil materials, such as oil tea, walnut, oil olive, eucommia ulmoides, oil-use peony, amygdalus pedunculatus, etc., shall be approved by the local forestry authorities at provincial level.

	<p>concentrating and spray dry equipment with the daily processing capacity of raw milk (two shifts) below 20 tonnes and manual and semi-automatic liquid milk canning equipment below 200 kilogram/hour]; manufacture of canned food; manufacture of gourmet powder; manufacture of sauces, vinegar and similar products; manufacture of other flavourings and fermented products (excluding salt); manufacture of nutrition food; manufacture of cold beverages and edible ice; beer brewing (excluding beer filling production line with production capacity less than 18 thousand bottles/hour); vinification; manufacture of carbonated beverages [excluding production line of carbonated beverages with production capacity below 150 bottles/minute (with bottle volume of 250 millimetres or less)]; manufacture of bottled (canned) drinking water; manufacture of fruit/vegetable juice and fruit/vegetable drinks; manufacture of milk beverages and vegetable protein beverages; manufacture of solid beverages; manufacture of tea beverages and other beverages; textile industry; manufacture of curtains and fabric products; textile and garments, garment industry; leather, furs, feathers and related products and footwear manufacturing industry; timber processing and wood, bamboo, cane, palm and straw products industries; furniture manufacturing industry; paper making and paper products industries (excluding production of rice paper); manufacture of stationery, education and office products; manufacture of musical instruments; manufacture of arts and crafts (excluding carving and processing of nationally key protected wildlife, production of bodiless lacquerware, production of enamelware and production of inksticks); manufacture of sporting goods; manufacture of toys; manufacture of amusement equipment and entertainment goods; manufacture of daily chemical products; manufacture of plastic products; manufacture of daily glass products, manufacture of daily ceramic products; manufacture of metal tools; manufacture of porcelain-on-steel daily commodities and other porcelain-on-steel products; manufacture of metal daily commodities; manufacture of bicycles; manufacture of off-road leisure vehicles and spare parts; manufacture of batteries; manufacture of</p>
--	---

	<p>domestic electrical appliances; manufacture of non-electrical domestic appliances; manufacture of lighting tools; manufacture of clocks, watches and timers; manufacture of glasses; manufacture of daily sundries; wholesale of forestry products; wholesale of textile, apparel and household goods; wholesale of stationery; wholesale of sporting goods; wholesale of other cultural goods; trade agency; other trade brokerage and agency; import and export of goods and technologies; retailing services (excluding the retailing of tobacco products and franchising operation); retailing of books, newspapers and journals; retailing of audio-video products and electronic publications; retailing of arts and crafts and collectibles (excluding the retailing of cultural relics collectibles); road freight transport; other maritime transport auxiliary activities, specifically referred to port cargo loading and unloading services, storage and warehousing, port supplies (vessel materials and daily commodities), leasing and repair of port facilities, equipment and port machinery; goods handling and loading/ unloading services, and transport agency services (excluding agency services for air passenger and cargo transport, and agency for Mainland internal water transport); storage and warehousing services; food and beverages services; software development; information system integration services; information technology consulting services; data processing and storage services (only limited to business of offline data processing services); leasing services; economic and trade consulting and company management consulting in socio-economic consulting; advertising; intellectual property services (excluding trade mark agency services and patent agency services); packaging services; the following items of office services: the design and production services of signs and bronze plaques, the design and production services of trophies, plaques, medals and silk banners; translation services under office services; two items of the other unlisted business services under the business services: corporate ceremonial services: ceremonial services for opening ceremonies, celebrations and other major events; personal business services: personal image design services, organisation services of</p>
--	--

	<p>personal activities, and other personal business services; research and experimental development (excluding research in humanities and social sciences); professional technical services; quality inspection technical services (excluding animal quarantine services, plant quarantine services, inspection/testing and certification-related services, and special equipment inspection/testing services); engineering technology (excluding planning management, surveying, design and supervision); photography and photographic processing services; scientific technology promotion and application services; technology promotion services; scientific technology intermediary services; sewage treatment (excluding environmental quality monitoring and pollution source investigation services); air pollution control (excluding environmental quality monitoring and pollution source investigation services); refuse disposal (excluding environmental quality monitoring and pollution source investigation services); noise abatement services under other pollution control and other environmental protection services (excluding environmental quality monitoring and pollution source investigation services); municipal facilities management (excluding environmental quality monitoring and pollution source investigation services); environmental sanitation management (excluding environmental quality monitoring and pollution source investigation services); washing, cleaning and dyeing services; hair dressing and beauty treatment services; bathing services; marriage services under residents services (excluding matchmaking services); other residents services; repair of motor vehicles¹; repair of computers and auxiliary equipment; repair services of household electrical appliances; repair services of other daily goods; building-cleaning services; other unlisted services: pet services (to be operated in municipalities only); outpatient clinic; sports; craft activities which are mainly for the purpose of leisure and entertainment (pottery, sewing, painting etc.) under other indoor entertainments; cultural entertainment agents; sports agents; wholesale of food and beverage; general</p>
--	---

¹ Repair and maintenance of vehicles and motorcycles.

	<p>guesthouses; other accommodation services; real estate intermediary services; operation of activities with individually owned real estates.</p> <p>2. To allow Hong Kong permanent residents with Chinese citizenship to set up, in accordance with the relevant Mainland laws, regulations and administrative regulations, individually owned stores, lifting restrictions on the number of persons engaged in the operation and the business area of individually owned stores.</p> <p>3. To lift the identity authentication requirements on Hong Kong permanent residents with Chinese citizenship setting up, in accordance with the relevant Mainland laws, regulations and administrative regulations, individually owned stores.</p>
--	---